



IRSC 2022  
INTERNATIONAL RAILWAY SAFETY COUNCIL

# DATA-BASED SMART RAILROAD TECHNOLOGIES FOR PREVENTIVE SAFETY MANAGEMENT

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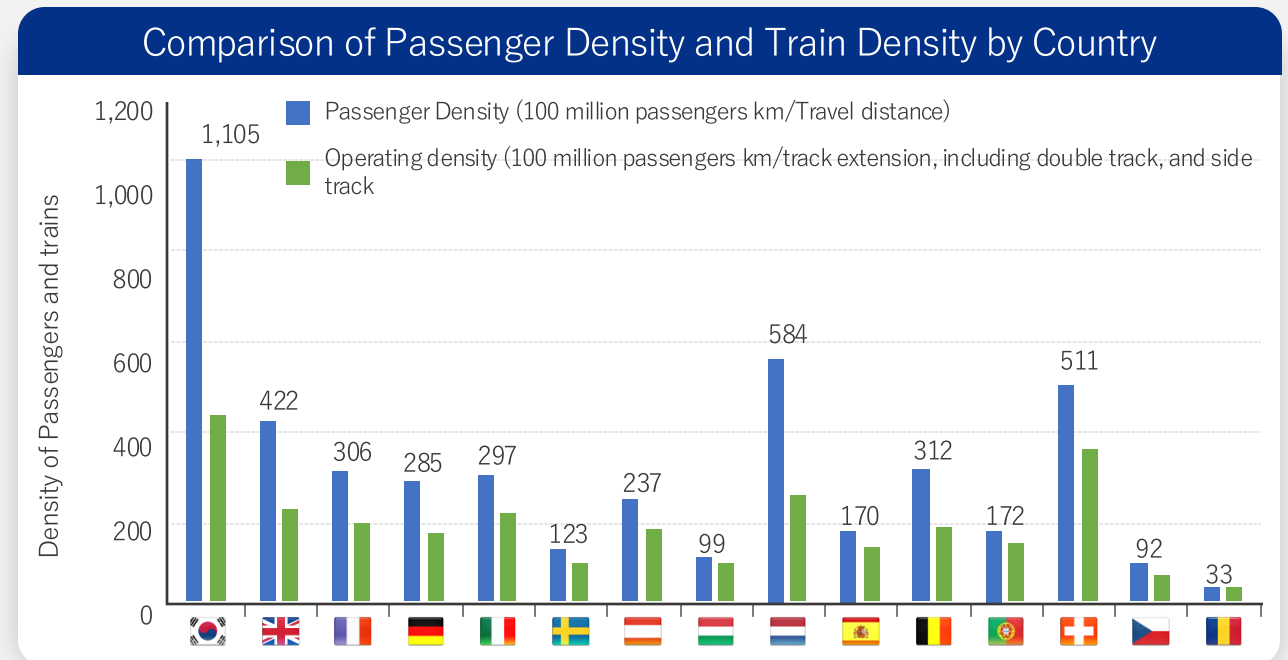
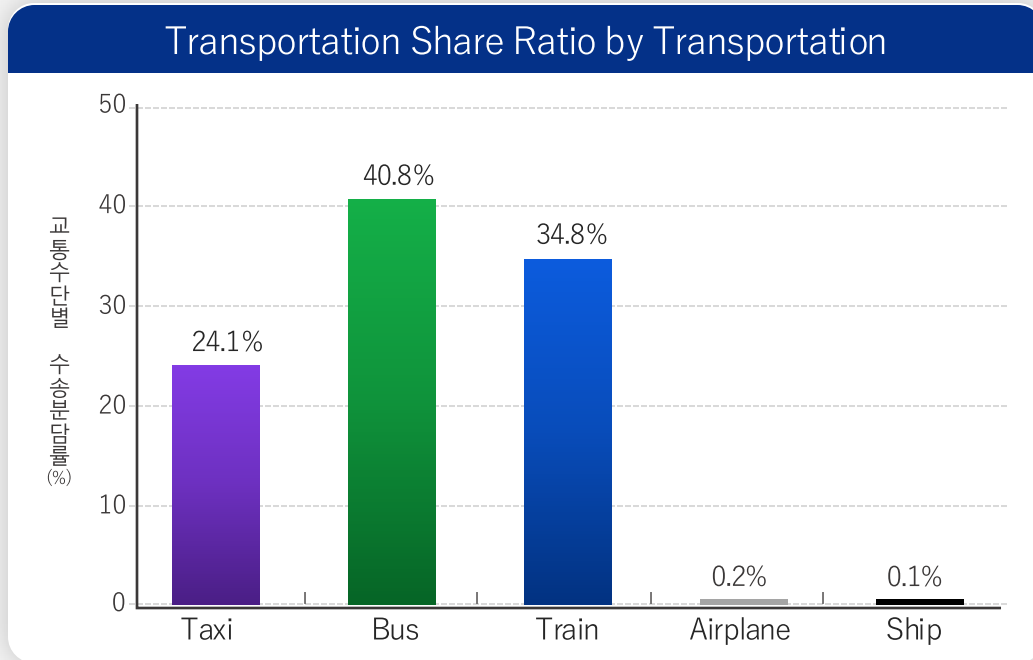
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5 billion users per year, meaning 50 million Korean citizens use railway over 100 times a year.

- The ratio of railway transportation is 35% of the entire public transportation (Trains, bus, taxi, airplane, ships)
- The density of passenger and train operation is one of the highest in the world (2~3 times higher than other countries such as UK or France)

\* Source: National Transportation Statistics (2018), Private vehicle data not included



5,949 km

Distance of train traveled

1,672 Stations

Number of Stations

59,601 People

Number of railway workers

71.8%

Rate of electrified railway

25,072 Trains

Number of Trains

Approx 25,000

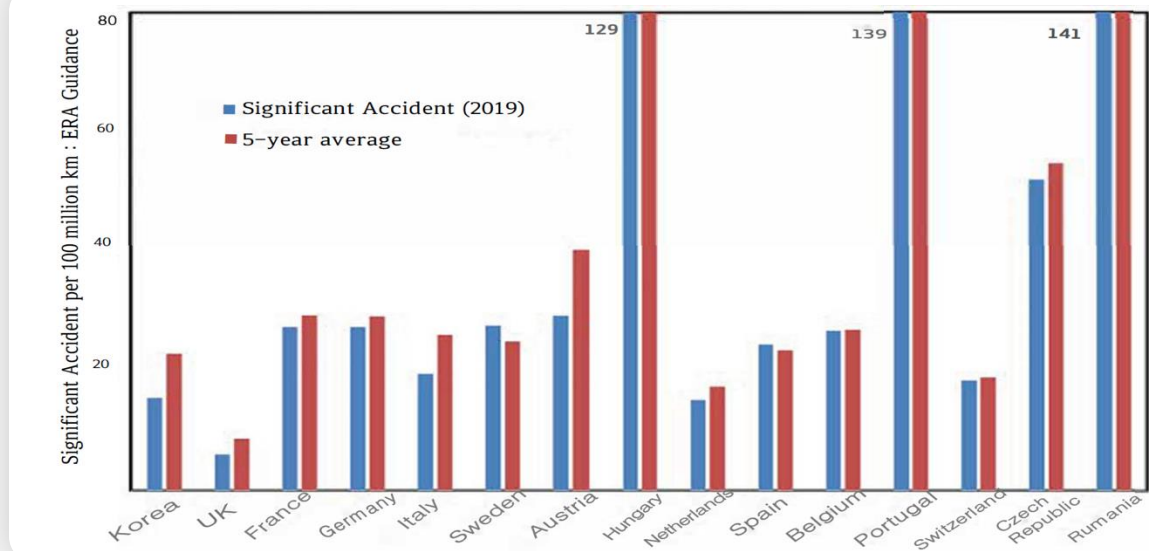
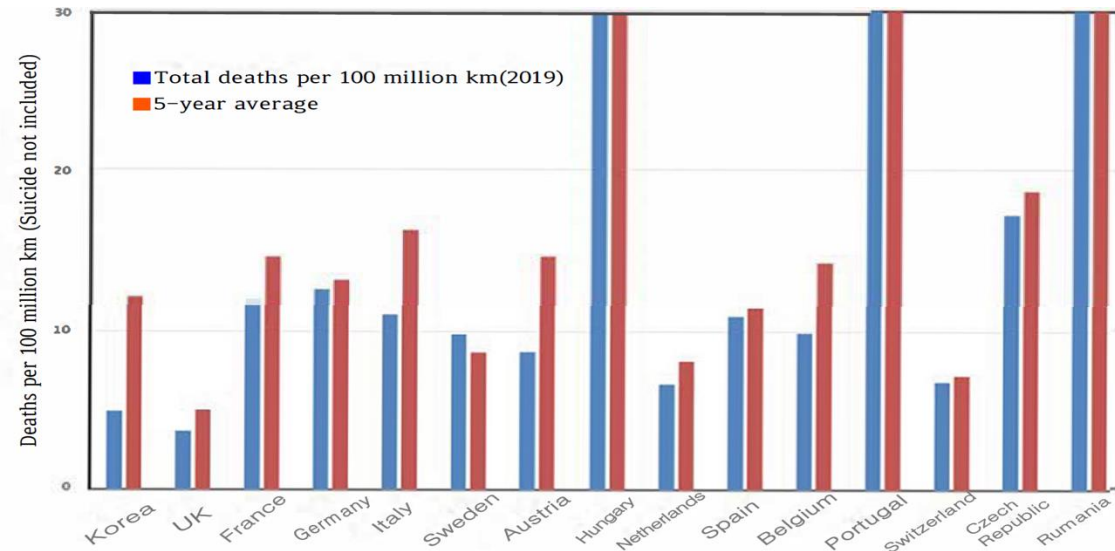
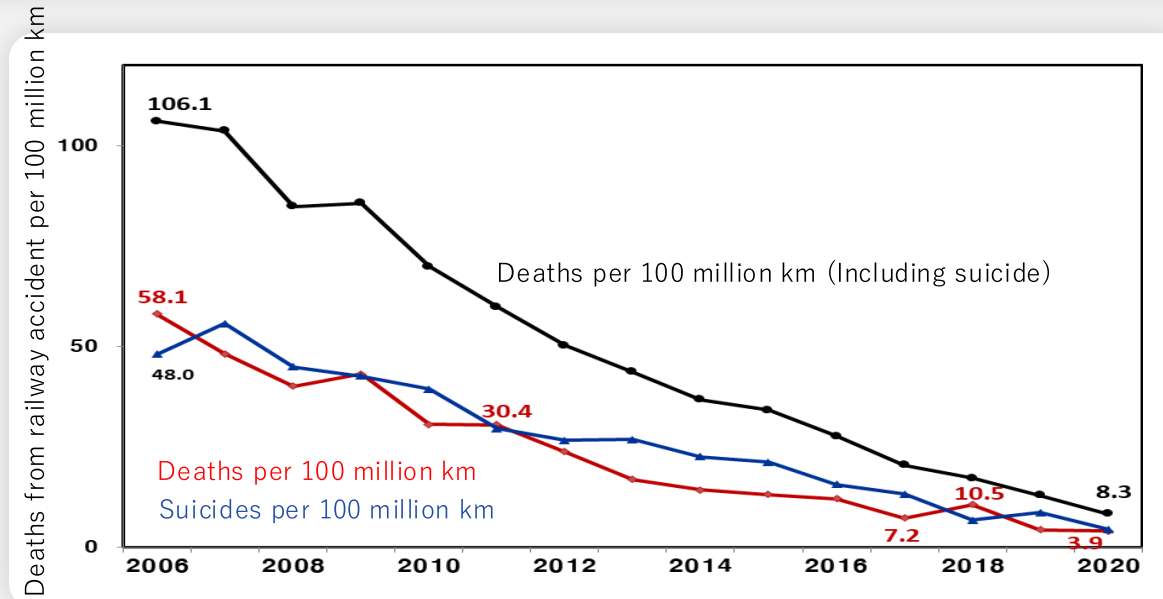
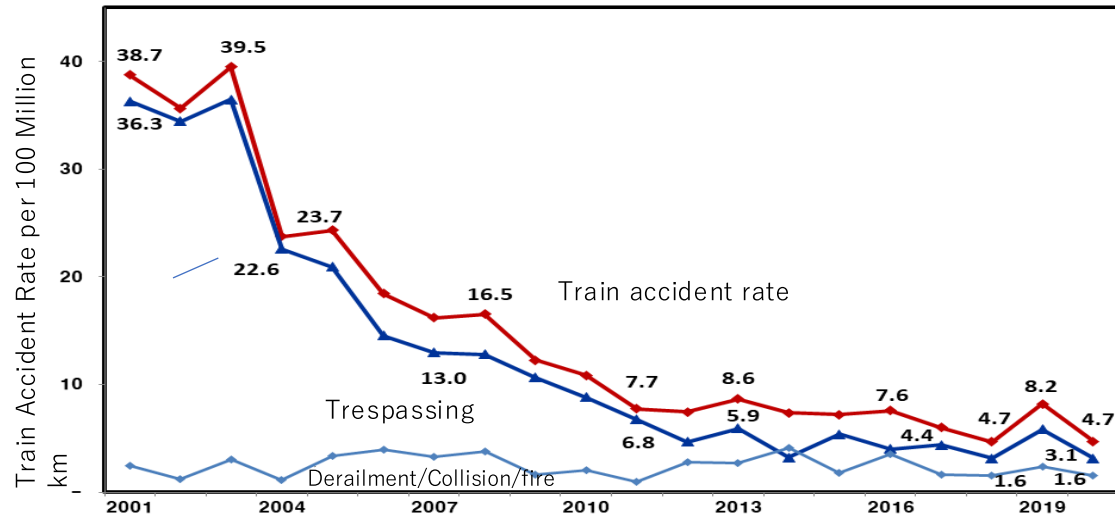
Number of train components

Railway Safety Management consists of Railway Safety Management System, Railway operation System and maintenance system

- Railway Safety Management System is an organic system of safety management to implement systematic and preventive railway safety management activity



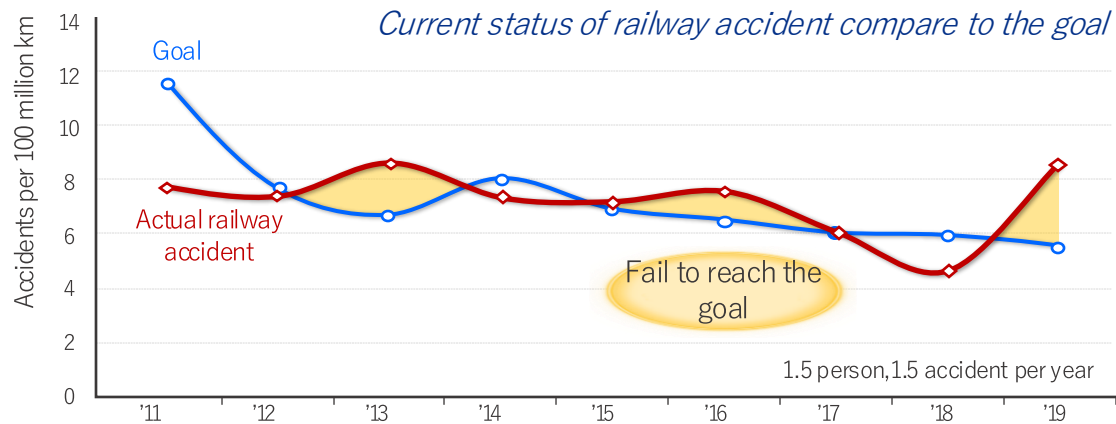




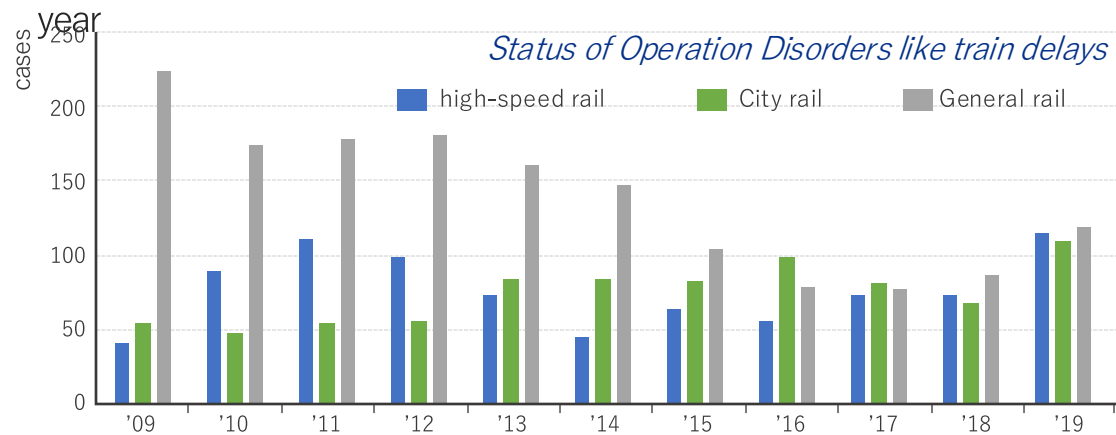
## Continuous and repetitive occurrence of Railway Accident malfunction

### Slow decrease of Railway accident malfunction

- Fail to reach the goal of railway accident decrease



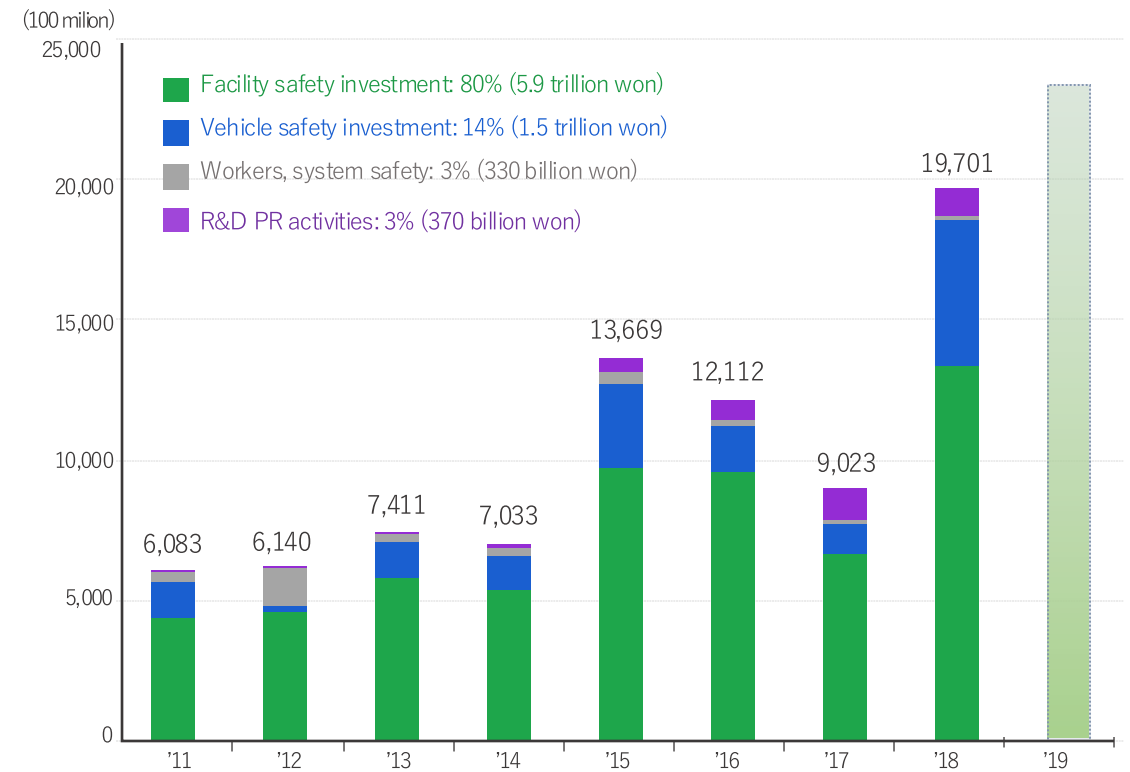
- Continuous occurrence of operation disorder – about 100 cases per year



### Increase of railroad safety investment budget

- Increase in national investment over 10 years
  - 1.3 trillion won(2015) → 3.2 trillion won(2020)
  - Spend more than 90% on facilities and vehicles

### Railway safety investment budget

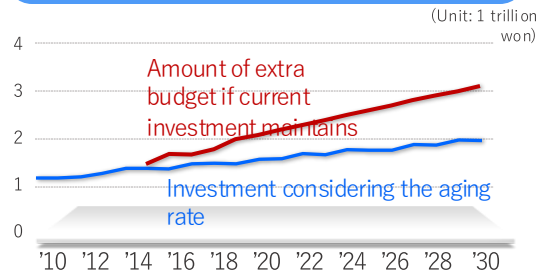


- Necessity of preemptive response to the potential risk factors that can cause the railway safety accident

### Condition evaluation of aging railway

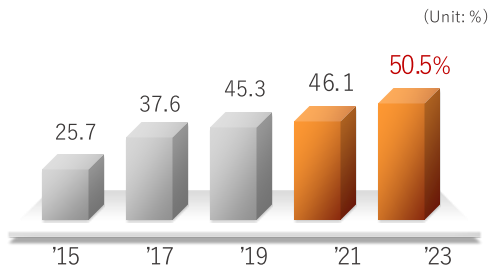
- Due to the limited budget, the aging of vehicles/facilities continues
- Need to develop technology to reduce railway system maintenance cost for safety

#### Infrastructure maintenance investment prospects



Source : Current state of SOC maintenance Investment & required financial resource

#### Current state of old trains & Prospect (Older than 20 years)

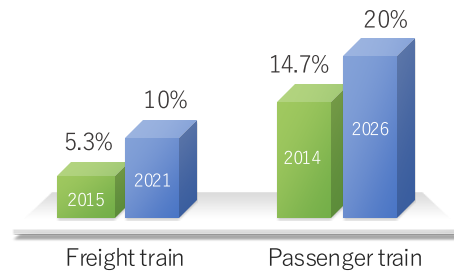


Source : 2019 Railroad safety implementation plan, MO

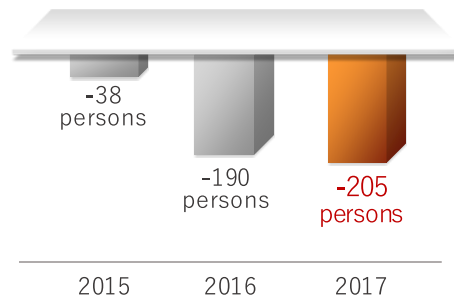
### Railroad network increase, Maintenance budget/personnel decrease

- Increase from 3,729km(2016) to 5,364km(2026)
- Decrease of train maintenance personnel

#### The National Railway Network Plan



#### Maintenance personnel



### Precursor of abnormal climate change

- Need to detect/diagnose the precursors of damage such as heat waves, heavy rains, and earthquakes.
- Need to preemptive response based on the risk and damage prediction for preparing disaster
- Insufficient emergency response system to prevent spread in the event of an accident



### Risk Factors

- Unmanned driving, station/cyber security, increased crime/terrorist threats, etc.
- Need for real-time detection/diagnosis, integrated safety information system

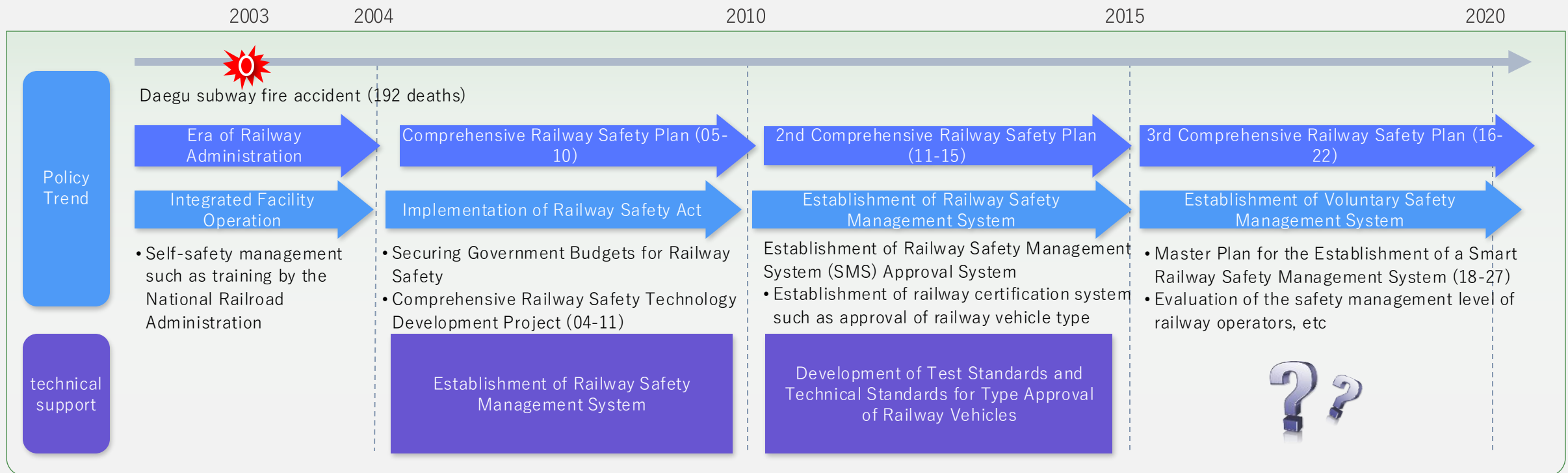


## Technology Development Requirement for on-site implementation according to safety management law/policy

- After 2003 Daegu subway fire accident (192 deaths), Railway Safety Act was legislated
- Establishment of voluntary safety management system and conversion to preventive system by Railway Safety Act and 3<sup>rd</sup> Comprehensive Railway Safety Plan
- In 2018, Master Plan for Smart Railway Safety Management System was established

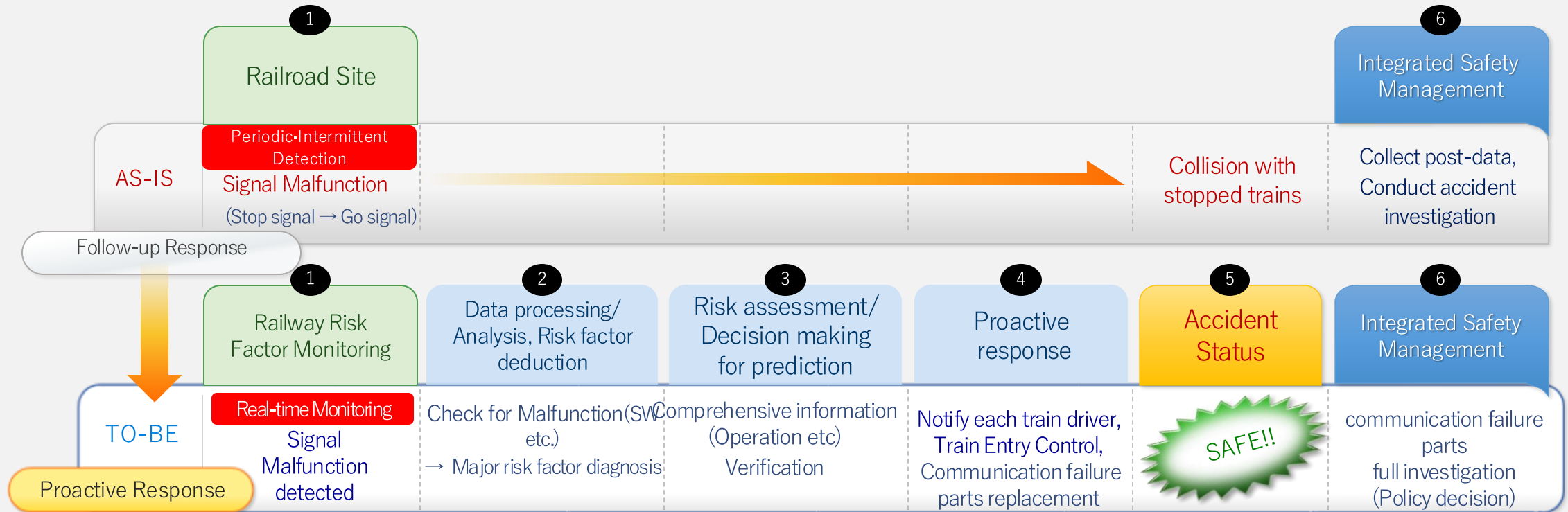
➔ Lack of technologies required for on-site implementation

### Current status of the policy change in Korea



## Smart Technology Development for Preventive System of Railway Safety Management

- Manpower-oriented follow-up response reached the limit → Switch to the preventive system by data-based smart technology



- ✓ Measurement technology automation
- ✓ Digitization of risk factor data
- ✓ Standardization/Data Analysis

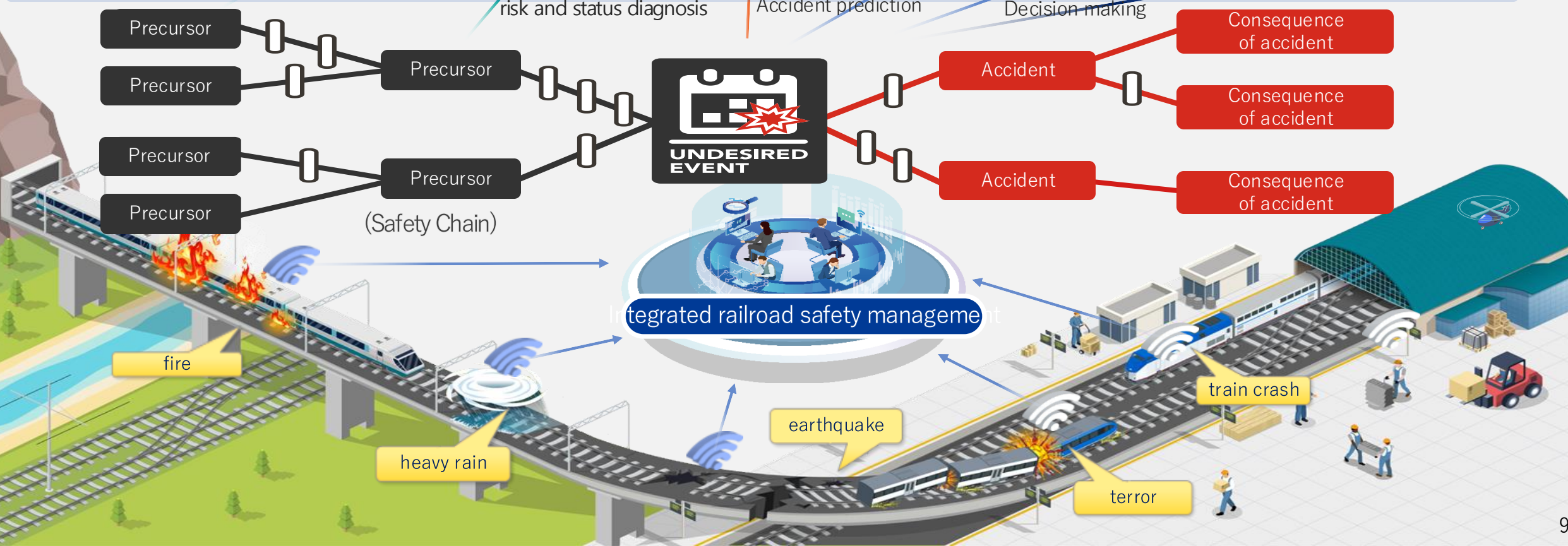
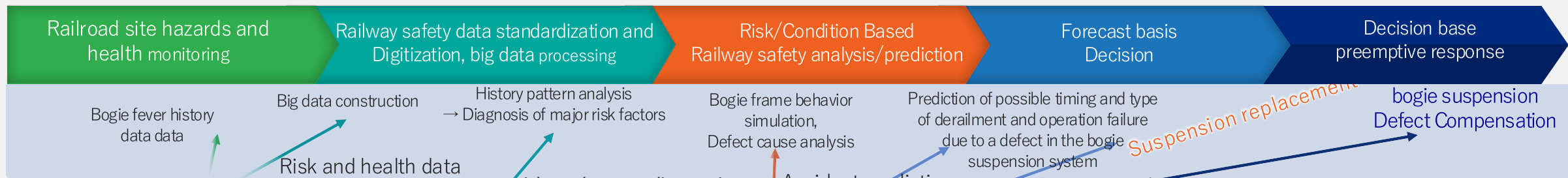
- ✓ Data utilization state-based risk diagnosis
- ✓ Defect/damage real-time response

- ✓ Perform rail risk and condition-based analysis, assessment, and forecasting

- ✓ Mitigation and resolution of risk factors Optimal decision-making



Digital safety chain unit Prediction of safety accident → Data standardization → Risk level and condition analysis → Accident prediction model → Determination of countermeasures





"Required Area for Technology Development" derived from the contents related to the technology development at SWOT analysis results

### SWOT analysis result



- Accelerate technology development based on well-equipped railway safety management system policies
- Efficient railroad safety management with budget investment by utilizing railroad-based technology through prior projects



- Active response to railway operation risk factors such as aging and reduction of professional manpower by shortening the technology development period
- Preemptive response and resolution of new risk factors by securing intelligent railroad safety management technology



- Resolving data-based safety management difficulties for each operator through government-led development of safety management technology
- Field spread of R&D performance through operation of national integrated data center



- Preemptive response to railway operation risk factors through application of risk analysis-based technology
- Resolving the shortage of professional manpower through automation technology development and education and training

### Areas required for technology development



of railroad safety management  
Integrated  
control tower function technology



railroad safety  
Prior risk factors  
Analysis, prediction, and decision-making skills



Railroad safety information management and  
Risk factor proactive response technology

# Goals of Developing data-Based Smart Railway Management Technology

Vision

“Implementation of intelligent railroad safety that people can trust and feel safe”

Business Purpose

Reinforcement of safety capabilities and creation of a smart ecosystem through digitalization of railway safety management and preemptive response to risk factors

Business Goals

Innovation and efficiency improvement of smart railway safety management technology

Through reduction of 60% of railroad accidents and 50% or more of operational obstacles  
Improvement of railroad safety indicators

Achieved more than 3,000 digital safety chains through processing, analysis, prediction, and decision-making of railway safety risk factor data

Improvement of safety management cost efficiency by reducing railway system maintenance cost by 20%

Focus Field

Focus 1

IoT-based railway site Safety big data construction and smart preemptive response technology

On-site safety data / On-site response results

Immediate response and preemptive response decision making

Focus 2

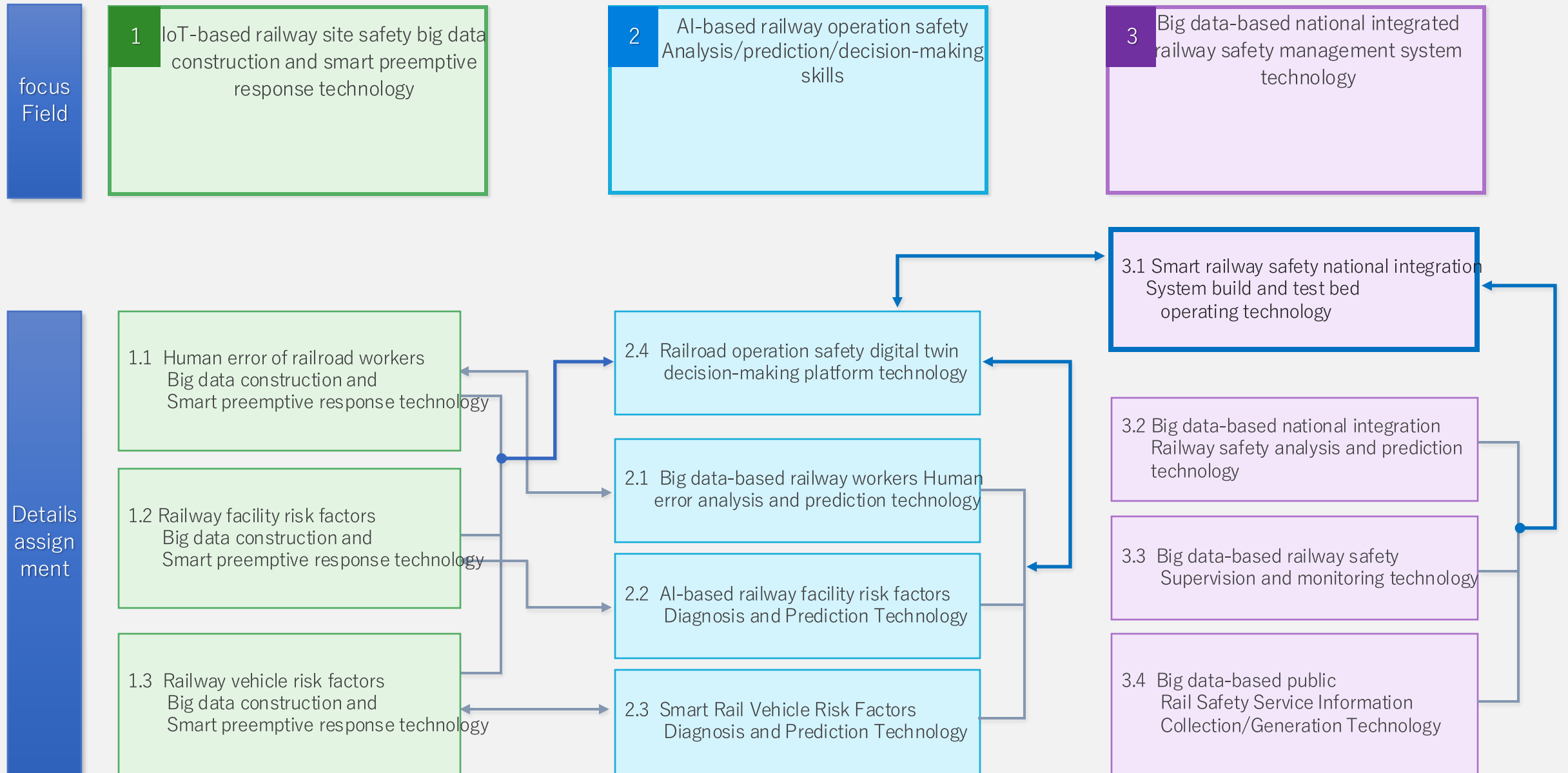
AI-based railway operation safety Analysis/prediction/decision-making skills

Prediction of on-site risk / safety management information

State-led railway safety Precautionary management

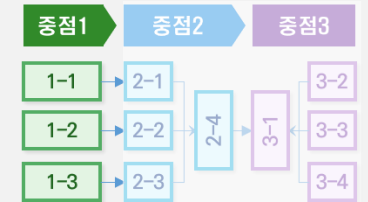
Focus 3

Big data-based national integrated railway safety management system technology

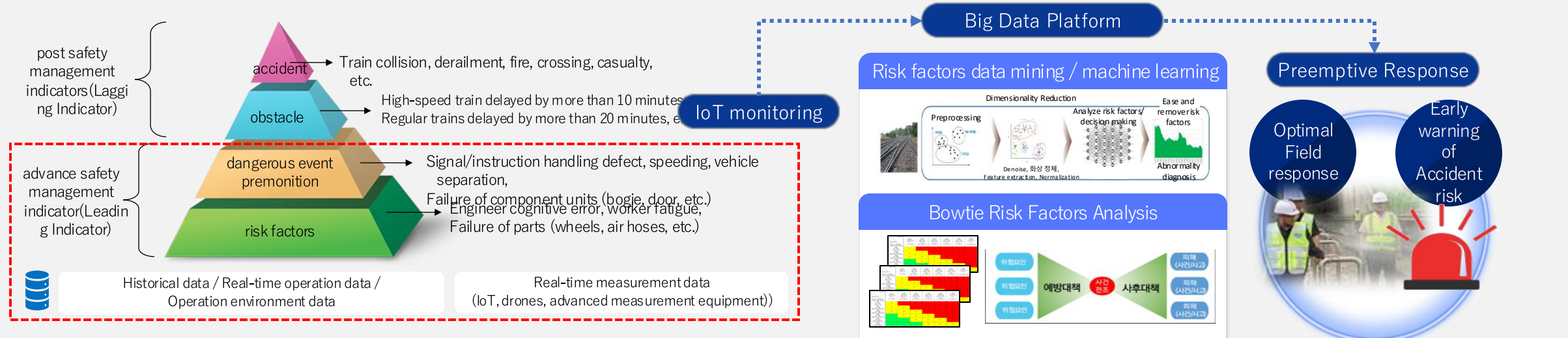
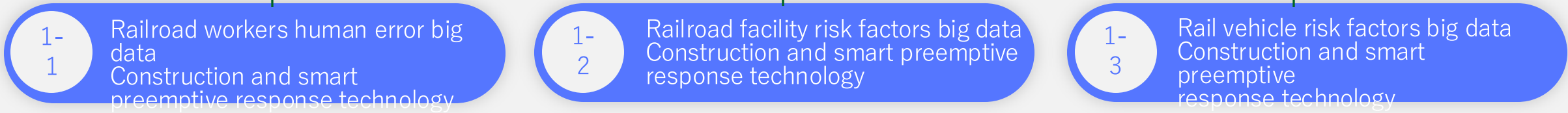


## Core 1 IoT-based railway sites safety big data establishment and smart proactive response technology

- (IoT monitoring and Big Data Platform Technology) Railway safety data standardization and digitalization technology based on the scenario of risk factor occurrence using IoT and advanced measurement equipment
- (Proactive response technology) Measurement technology to scientifically detect and recognize pre-risk factors of railroad operation sites

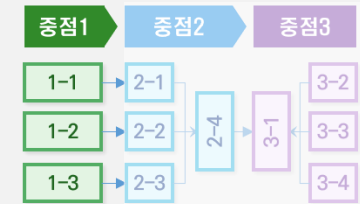


### IoT-based railway site safety big data construction and smart preemptive response technology



### 1-1 Establishment of human error big data and smart proactive response technology

- Collection of human error characteristics data (physical condition, physical environment, external environment, infrastructure, procedures, training/evaluation, etc.) prevention of accidents and disorders due to human error based on diagnostic technology, and development of AR/VR-based education/training system for early response

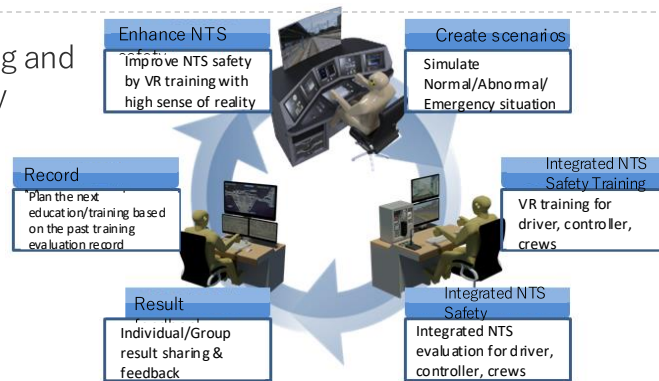


#### Establishment of human error big data for railway workers and smart preemptive response technology

Establishment of human error big data for railway workers and data collection technology for real-time risk factors



AR/VR based education/training and preemptive response for railway workers



#### Establishment of big data on human error and monitoring system

- Setting technology for characteristic item on human factors
- Big data establishment and diagnosis technology for railway accident/malfunction's human risk factors

#### Preventive technology for accident/disability

- Multi-user virtual training system that enables joint training Immersion enhancement and interaction technology of virtual training system

#### Early response technology for human error on vehicle/facility maintenance

- AR system for maintenance training of railway vehicle/facility
- AR improvement technology for maintenance training and support of railway vehicle/facility
- AR tools for maintenance support of railway vehicle/facility

#### First step of demonstration on big data system

- Establishing technology on the first step of demonstration on integrated big data system for railway workers' human error

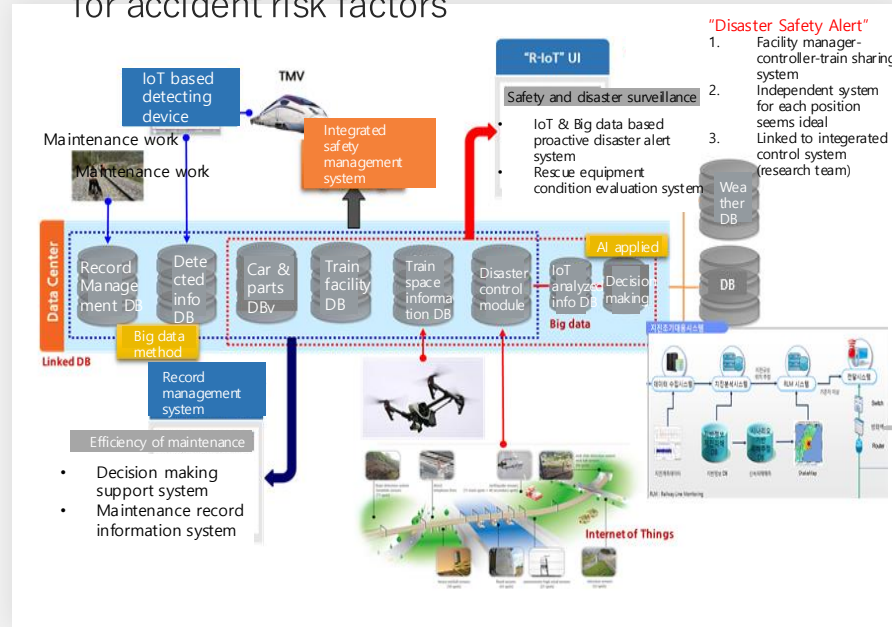
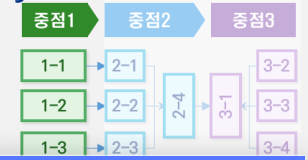


Data-based analysis/prediction/decision technology of human error



### 1-2 Developing Big Data and Smart Preemptive Response Technology for risk factors in Railway Facilities

- Improvement of safety and capacity building on safety and condition risk factors for railway facilities (6 fields) by establishing safety information big data, real-time anomaly/defect diagnosis technology, and developing preemptive response technology for accident risk factors



#### IoT-based smart monitoring technology based on risk and condition

- Data collection technology for safety accident/operation disorder of facilities at railroad operation site
- IoT-based smart monitoring technology based on railway facilities' condition and risk

#### Integrated Big Data Platform of Railway Facilities

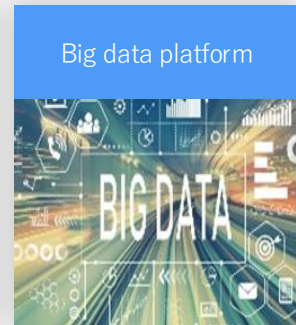
- Developing the sign of a safety accident and condition-based scenarios, and data standardization
- Big Data establishment technology based on the condition and risk

#### Big data based real-time diagnostic system

- Big data based real-time diagnostic technology for any abnormalities/defects in railway facilities

#### Big data based real-time diagnostic system

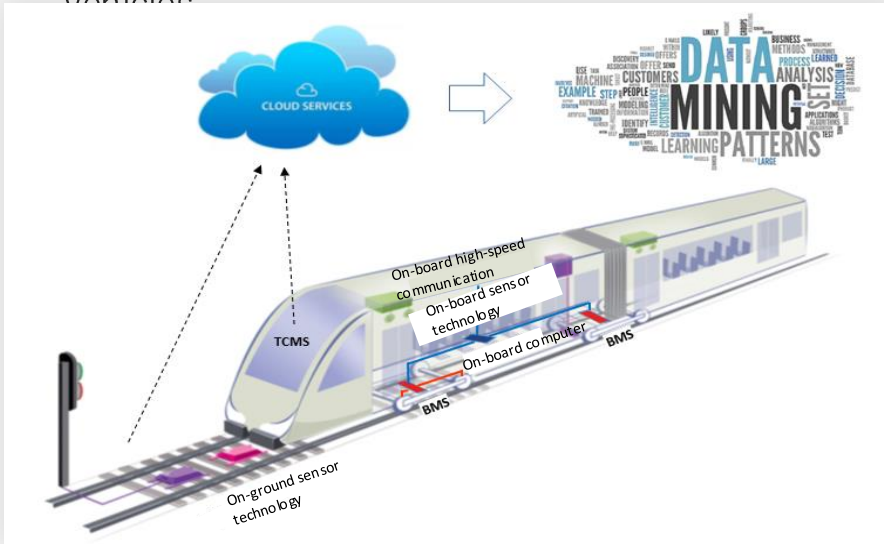
- IoT-based ballast resistance measurement technology and equipment
- Continuous Track Stiffness Measurement System
- Bifurcation linear measurement and analysis technology
- Long-term variation measurement system technology for railway bridges
- Tunnel backside condition evaluation measurement system technology
- Safety accident advance warning system technology for the transportation vulnerable (Smart Block)
- Intelligent search automation system technology at railway station
- Built-in standard failure mode automatic measurement system technology for each type of railroad signal facility
- Mobile platform technology which supports the safety management business based on the diagnosis information at Failure mode
- Emergency response system for pre-empting the spread of social and natural disasters





## 1-3 Developing Big Data for risk factors in Railway Vehicles and Smart Preemptive Response Technology

- Development of sensing technology for measuring physical quantities on board and on the ground and integrated information analysis technology for real-time data communication and analysis to expand safety management items of core railroad vehicles



### Vehicle/ground sensing system technology for core device

- Key device sensor positioning and measurement cycle setting technology for railway vehicles
- Electrical noise removal and feature extraction technology
- Data calibration and big data DB construction technology

### Real-time communication technology for large-capacity measurement data

- Vehicle public network standardization and interface technology
- High-capacity two-way communication technology for real-time monitoring of each major train device
- Interface and data path technology with vehicle TCMS

### Big data standardization/systematization and information collection technology

- Big data standardization technology for major railway vehicles
- Data structure design technology for database systematization
- Information production technology through data self-mining

### Precise diagnosis equipment technology for railway vehicles

- Damage mechanism analysis technology for major equipment of railway vehicles
- Simulation modeling and verification technology according to the damage mechanism
- Precision diagnostic equipment design and manufacturing technology for each device
- Performance verification and diagnostic evaluation technology for precision diagnostic equipment

### Preemptive response technology for risk warning of railway vehicles

- Risk assessment technology through FTA analysis according to major device failures
- What if scenario simulation technology
- Optimal preemptive response time and method prediction technology

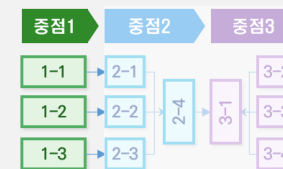
**Occupational information collection technology**

**Precision Diagnosis Equipment**

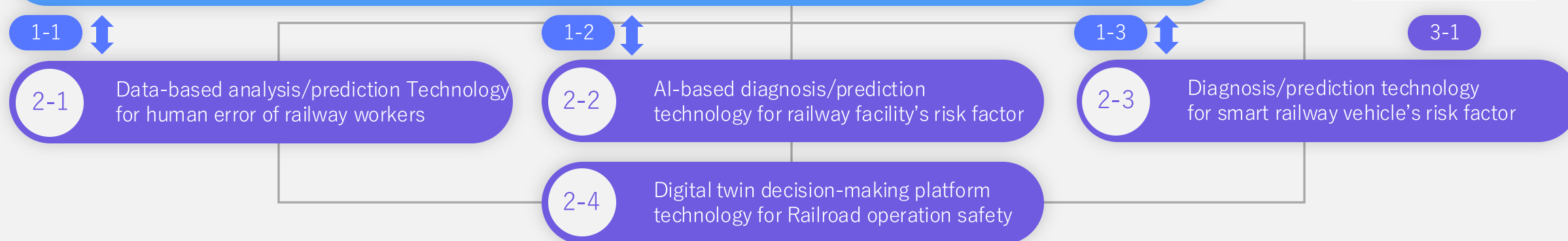
**Preemptive response to risk**

## Core 2 AI-based railway safety analysis/prediction/decision-making technology

- (Analysis/prediction technology for risk factors of railroad sites) Development of a digital model that considers the characteristics of vehicles, facilities, workers, and users of railroad operation sites, and diagnosis/prediction of risk factor status using it
- (Safe Operation Digital-Twin Decision-Making Platform Technology for Railway) Digital twin platform technology that supports decision-making in real time for optimal response measures for railway operation safety (maintenance, improvement, response to unusual situations, etc.)



AI-based railway safety analysis, prediction, and decision-making technology



**Physical Model**

Railway human/facility/vehicle risk factor generation physical model

**Data Model**

Railway human/facility/vehicle risk factor data analysis model

**Status diagnosis/predictive model for risk factor**

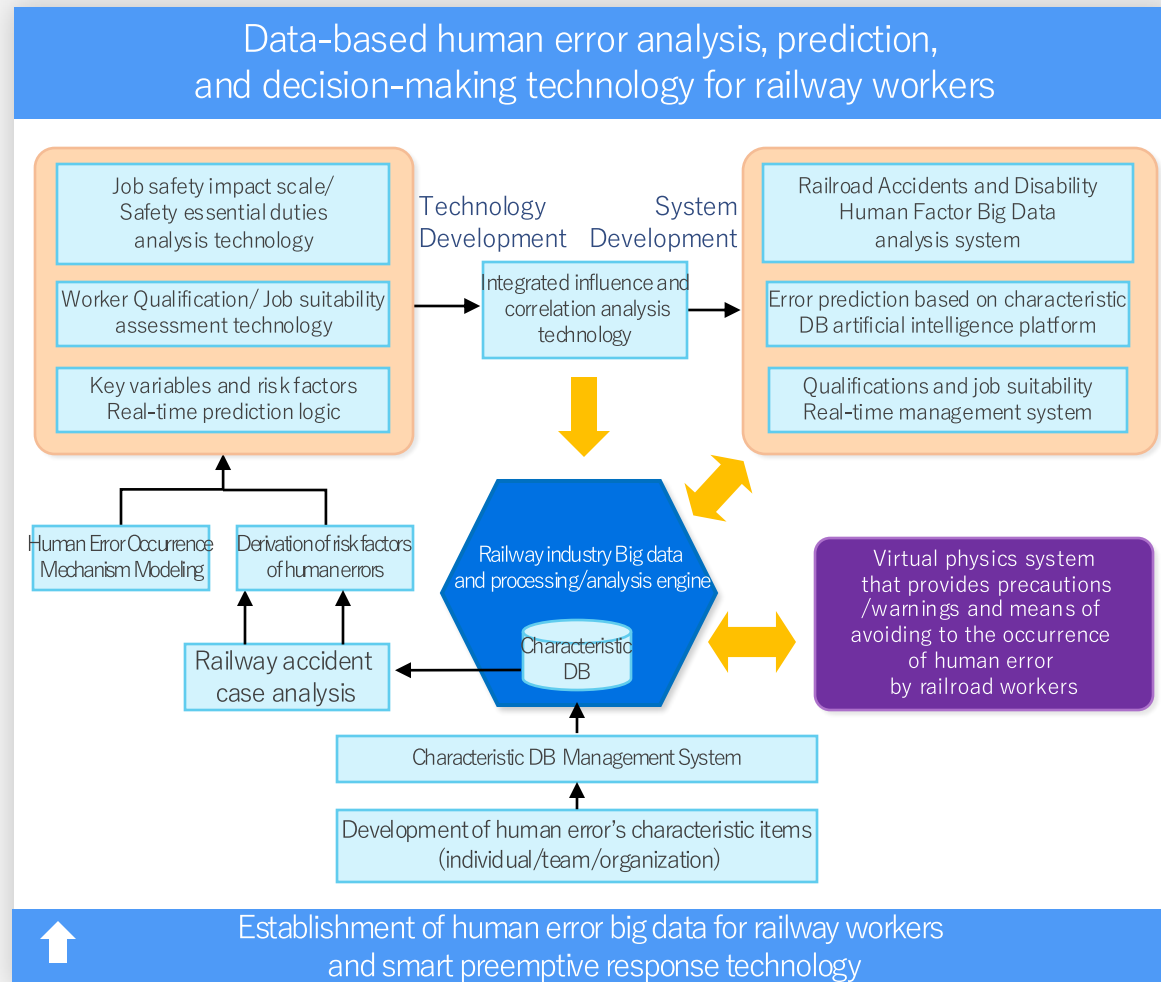
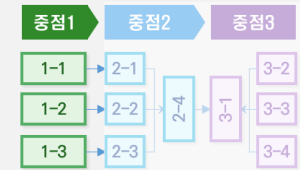
Railway human/facility/vehicle physics-based analytical risk factor diagnosis/prediction technology

**Digital Twin Decision-Making Platform**

Digital twin platform technology for risk prediction

## 2-1 Data-based human error analysis, prediction, and decision-making technology

- Modeling human error mechanisms of railway workers and developing error analysis, prediction, decision-making artificial intelligence platform, and decision-making Cyber Physical System (CPS) technology for avoiding human error



### Modeling human error mechanisms and data analysis technology

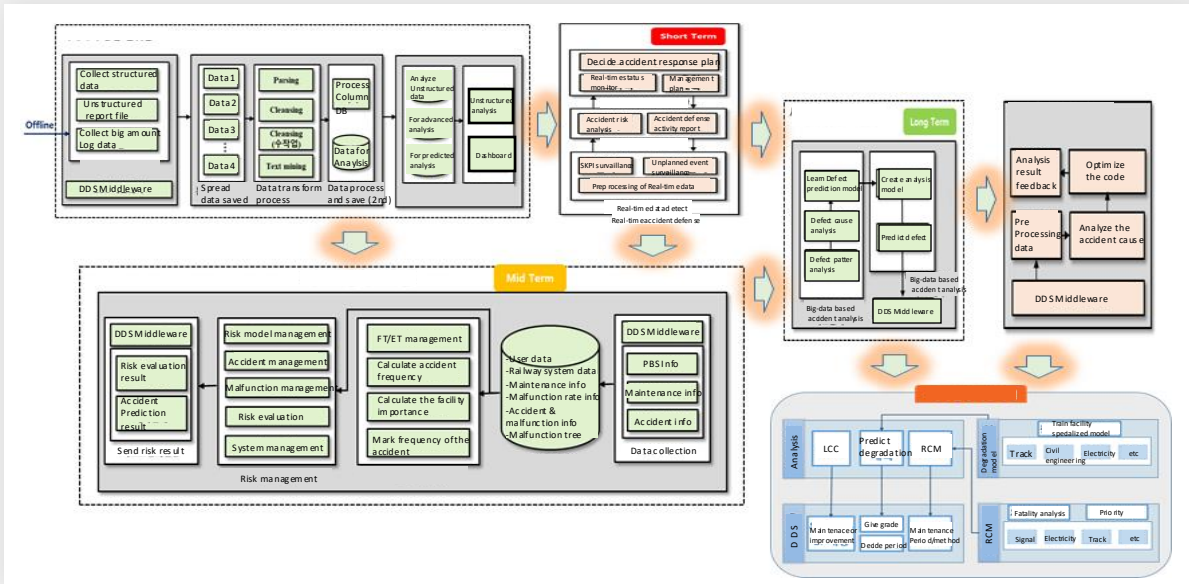
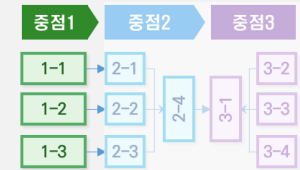
- Human error occurrence mechanism modeling for driver, human error characteristic data and safety essential job analysis technology
- Human error occurrence mechanism modeling for control worker, human error characteristic data and safety essential job analysis technology
- Human error occurrence mechanism modeling for crew and station staff, human error characteristic data and safety essential job analysis technology
- Human error occurrence mechanism modeling for vehicle and facility maintenance work, human error characteristic data and safety essential

### Big Data based Advanced ICT technology that predict the human error

- Big Data Analysis System for Human Factor of Railroad Accident/Disability
- Human error probability evaluation model for railroad workers
- Error prediction AI integrated platform technology based on characteristics DB of human error
- Construction of a virtual physics system (CPS) that provides cautions/warnings and avoidance methods from the occurrence of human errors in railway workers
- Real-time qualification/job suitability assessment for railroad workers, AI model diagnosis, and safety driving support technology for engineers
- Demonstration of safety management data system for integrated human factor of test ships

## 2-2 AI-based risk factor analysis, prediction, and decision-making technology

- Implementation of prevention through risk-based prior risk factor analysis considering various rail facility characteristics and behaviors, results based on risk-based predictive models, and economic and efficient decision-making technology using them



### AI-based analysis system for railway facility risk and condition defect

- AI-based risk analysis technology for each railroad facility
- Condition-based analysis model for each railroad facility
- Deterioration model for each railroad facility
- RCM model for each railroad facility

### AI-based safety risk prediction system for railway facility

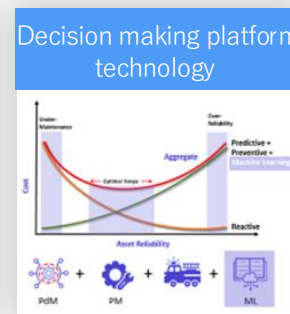
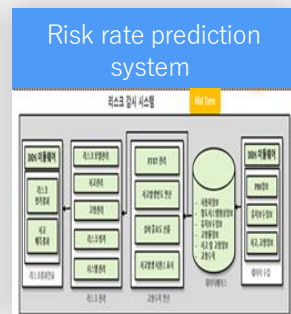
Risk probability-based prediction model for each railroad facility using AI technology

### AI-based decision-making platform technology for railway facility

- AI-based safety measures decision-making model for each risk factor of railroad facility
- AI-based safety measures decision-making platform for railroad facility

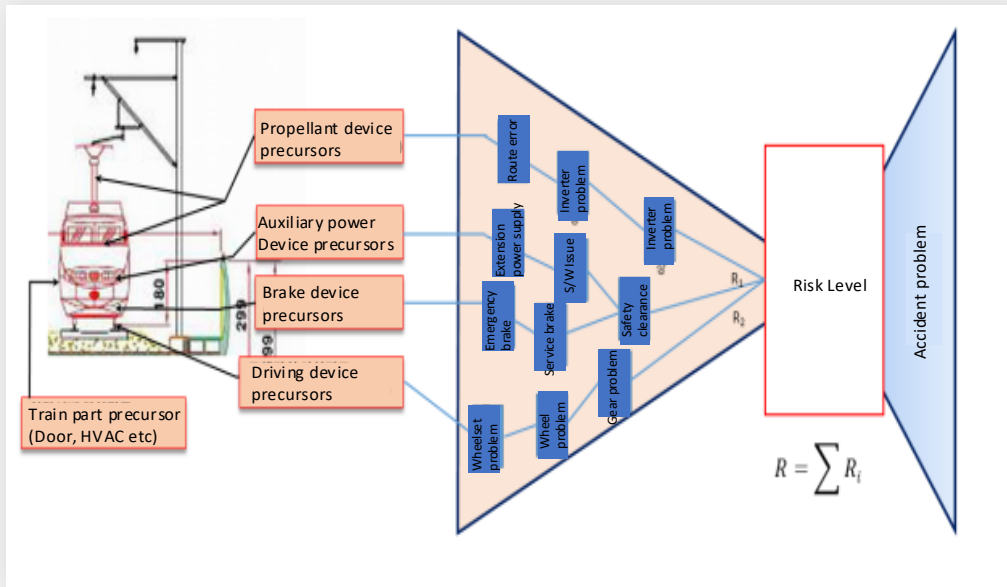
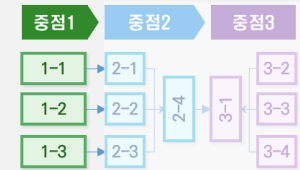
### AI-based S-RAM system for railway facility

- RAM data management systemization technology for each railroad facility
- LCC Statistical/Analysis Technology
- RAM platform development for railway facility



## 2-3 Smart Rail Risk Factor Diagnosis/Prediction Technology

- Condition diagnosis technology based on dynamic behavior traits of the railway vehicle's core device, risk precursor evaluation using the Bowtie model, and developing a tool for decision-making



### Diagnosis/prediction technology of risk factor based on major vehicle devices

- Physical analysis model of core parts for each major device of railway vehicle
- Simulation and result DB by failure mode for each major device
- Test bed construction and condition evaluation and modeling technology in finished vehicles

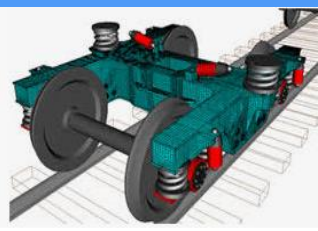
### Diagnosis/prediction technology of risk factor based on data learning

- Data-based condition evaluation modeling technology for each major device of railway vehicle
- Test device configuration for key performance evaluation by core devices / various parameter test results DB
- Building a learning DB for each failure mode and AI analysis modeling technology
- Test bed construction and condition evaluation technology for each key device in automobiles

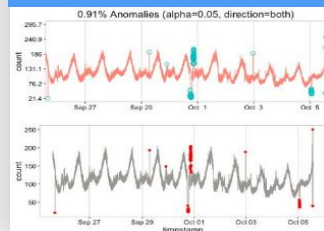
### Risk prognostic assessment and decision-making technology using Bowtie model

- Preliminary Hazard Analysis (PHA) Model for Major Vehicle Equipment
- Hazard Prediction Assessment Algorithm for Major Vehicle Equipment Using Bowtie Model
- Optimal decision-making model based on Whif if scenario

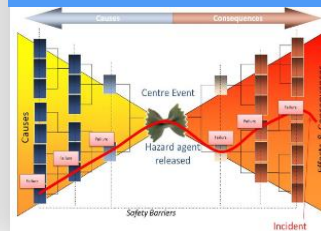
#### Physical modeling technology



#### Data modeling technology



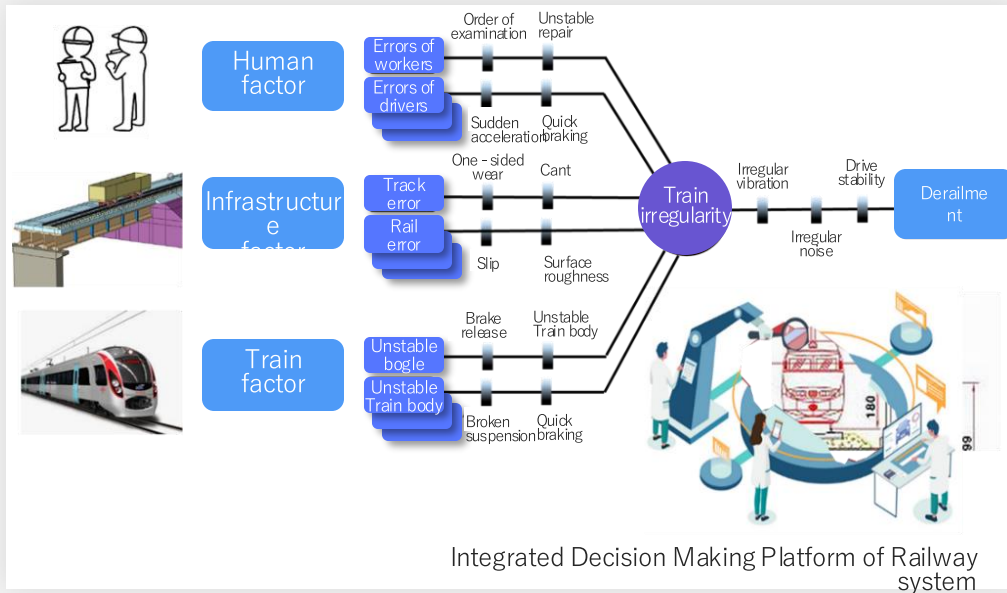
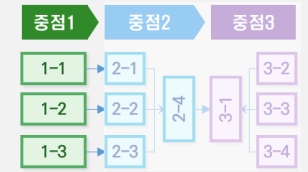
#### Risk modeling technology





### 2-4 Safe Operation Digital-Twin Decision-Making Platform Technology for Railway

- Bow-Tie modeling technology for the potential risk factors of the integrated railway system interface and developing an automated optimal decision support platform-based crisis management system



#### Risk modeling technology for integrated analysis on rail system

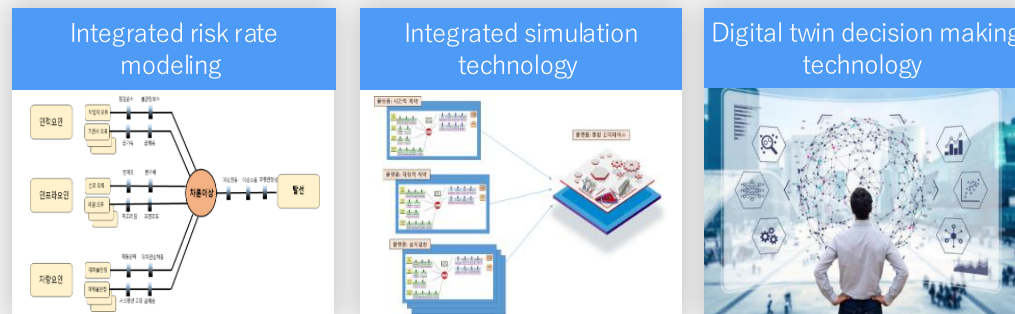
- Hazard discovery technology for human, infrastructure, and vehicle interface elements
- FTA analysis technology for human, infrastructure, and vehicle interface elements
- Bow-Tie model and simulation platform technology for each risk source

#### Integrated simulation technology for risk prediction

- Human, infrastructure and vehicle interface risk factor modeling technology
- Integrated simulation platform technology

#### Digital twin decision-making platform technology for integrated operation safety

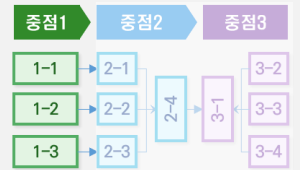
- Open data hub and high-reliability/real-time IoT network technology for railway safety information management at railway sites
- Development of AI-based train operation safety evaluation and optimal decision support system
- Development of on-site response technology for each type of risk warning and cyber security operation technology of railway system for safety control





## Core 3 Big Data-based National Integrated Railway Safety Management System

- (Nationally integrated railway safety diagnosis/prediction technology) Integrated safety management technology for the diagnosis, prediction, and decision-making of railway safety for all operating institutions at the national level, and test bed operating technology for verification
- (Data-based railway safety monitoring/supervising technology) Data-based railway safety system evaluation, supervision and monitoring technology



### Big data-based national integrated railway safety management system technology



#### data foundation Railroad Safety Supervision and Monitoring

- SMS maturity diagnosis and evaluation model
- Railroad Safety Supervision Data Mining Technology
- Variable SMS inspection and management

#### National Railroad Safety Service Create information collection

- National railway safety accident analysis and prediction technology using social networks
- Railway safety culture analysis model

#### National integrated railroad safety Decision support technology

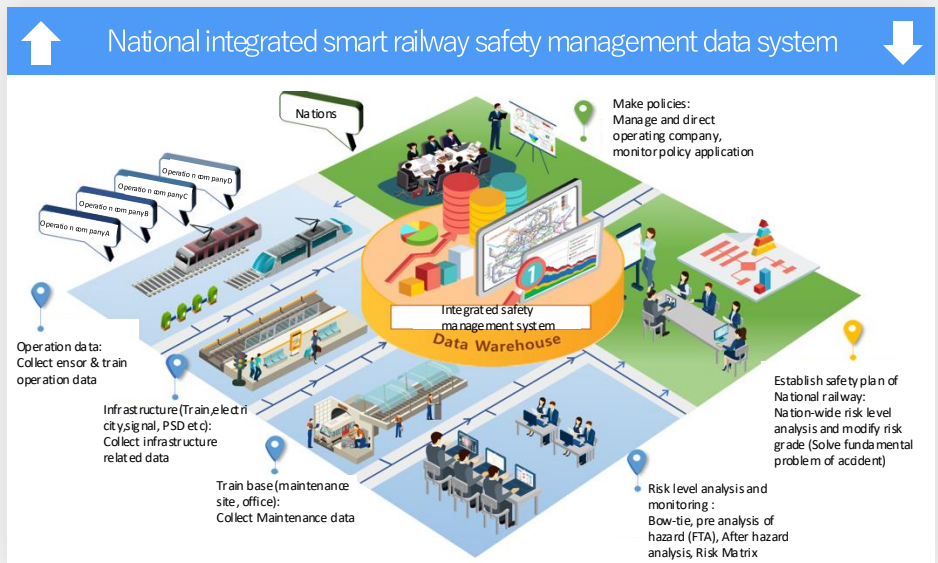
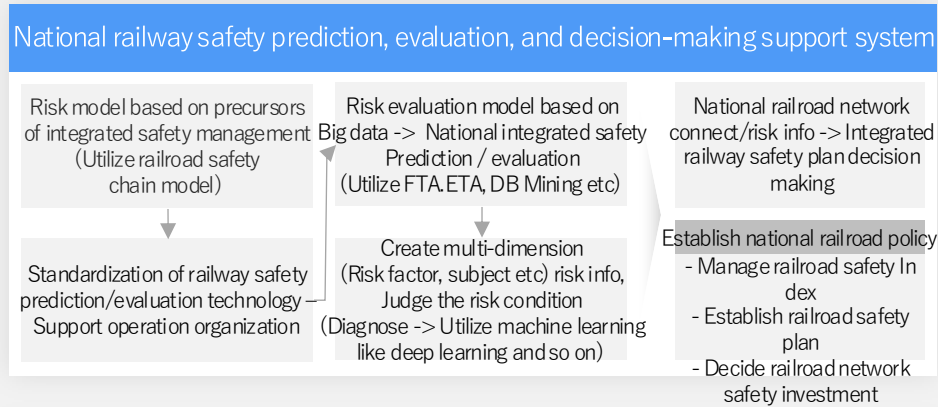
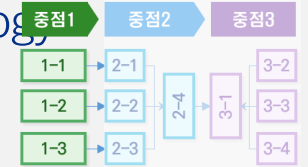
- National Integrated Railroad Safety Chain Model
- Big data-based national railway safety prediction, evaluation, management, and decision-making technology

#### Smart Rail Safety Test Bed

- System
- Railway integrated safety management system test bed establishment and demonstration project

### 3-2 Big data based national integrated railway safety analysis, prediction, decision support technology

- Railway safety decision-making for stakeholders based on safety information of all railways and the development of national integrated railway safety predicting, evaluation, and decision support technology to support PDCA



#### Standard model of safety chain for national integrated railroads

- Standard model of safety chain for risk occurrence, damage spread, and prevention measures for national integrated railroads centered on accident precursors for accident prevention
- Big data-based national integrated railway safety data standardization technology
- Big data-based national integrated railway safety data verification technology

#### Risk assessment technology based on big data-based accident warning

- Big data-based integration and analysis technology for national integrated railway safety data
- Big data-based prediction and evaluation technology for national integrated safety state

#### Safety policy decision-making support technology at national level

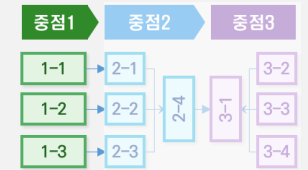
- Multi-dimensional risk information generation and risk status judgment technology at the national level
- Big data-based decision-making support technology for national safety measures considering the interconnectivity and risk information of the national integrated railway network

#### Big data-based SW development technology for railway safety

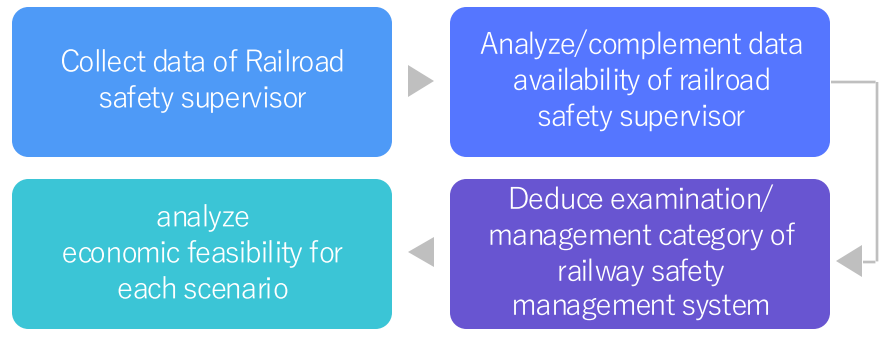
- Big data-based prediction, evaluation, management SW development technology for national railway safety

### 3-3 Data-based railway safety supervising/monitoring technology

- Developing a national safety management system for information evaluating/supervising/managing technology that enables self-inspection by operators and facility managers to improve the effectiveness of the railway safety management system and support autonomous safety improvement



Railroad safety system management/supervision/information system in accordance with railway safety management system maturity evaluation model



National maturity analysis technology of railroad safety management system which allows operators & Facility managers to self-examine



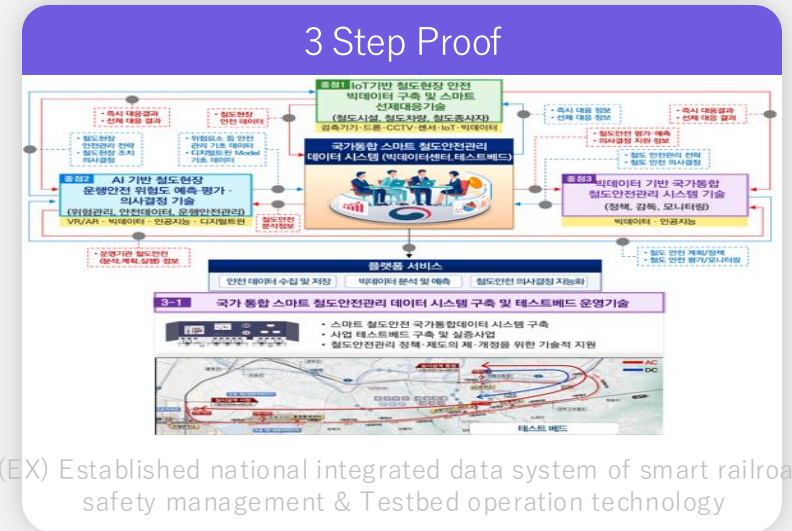
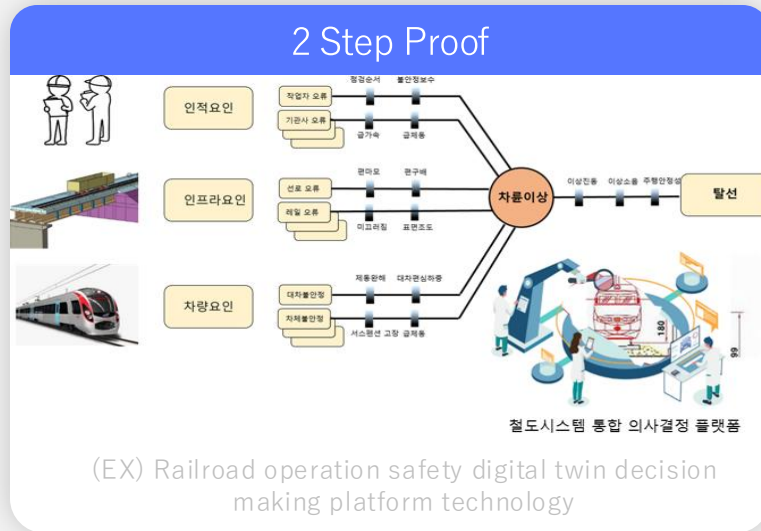
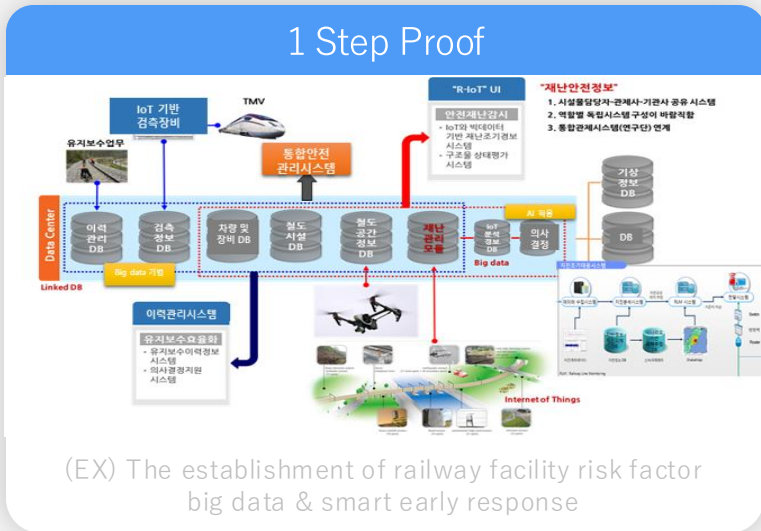
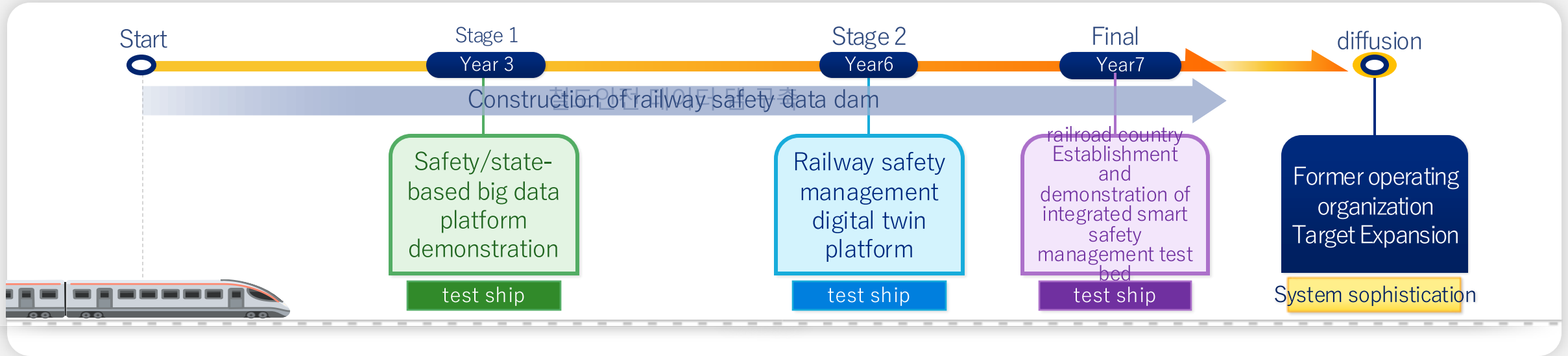
#### Diagnosis/evaluation technology of the maturity of system

- Railway safety management system and supervisory maturity analysis technology
- Development of a maturity diagnosis and evaluation model for national railroad safety management system

#### Establishment of information system that manage/supervise the railway safety system

- Establishment of unstructured big data for supervision/management of railroad safety management system, Development of risk/safety information linkage technology
- Development of text mining technology for safety-related data collected during the supervision and management of railway safety inspectors
- Development of big data-based knowledge technology from various unstructured data and risk/safety information collected during safety supervision and management
- Development of technology for deriving items for inspection and management of variable safety management systems
- Development of evidence-based railroad safety management system and tracking system technology for corrective actions after accidents
- Development of field support system for smart railroad safety management system supervision/management using mobile devices
- Development of situation mock management/supervision technology to strengthen the management/supervision capabilities of the railroad safety management system

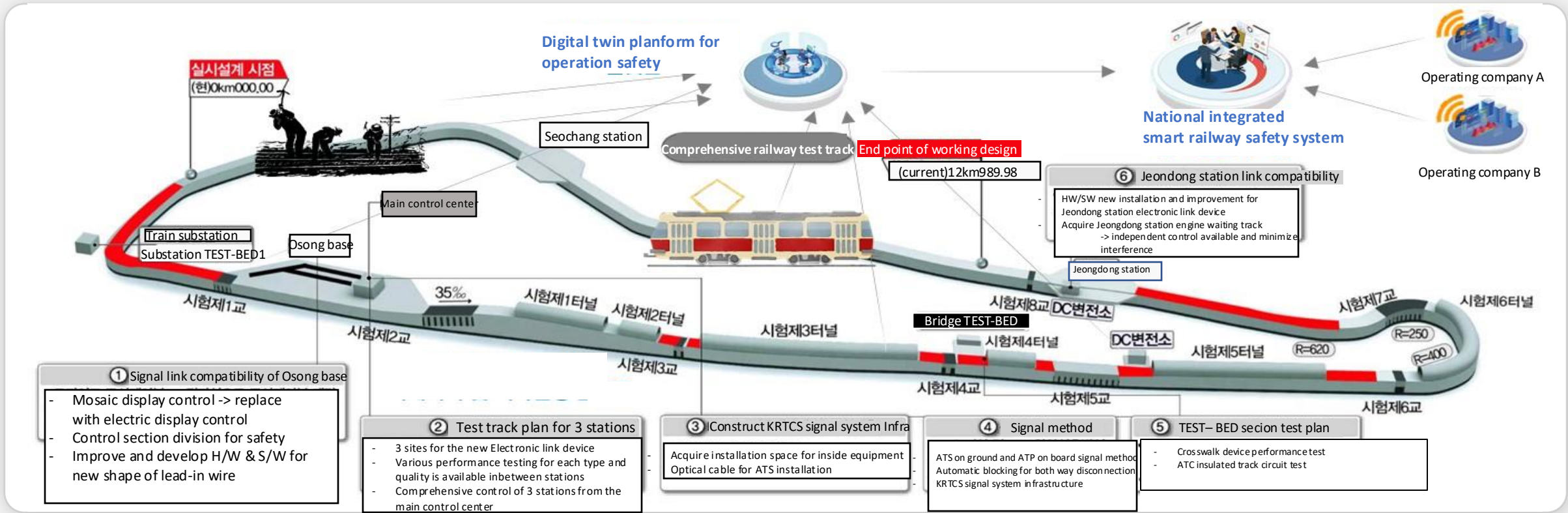
## Promotion Plan for Demonstration





Verifying the technology within the business period  
 facilities, and workers' result of safety management using Osong Railway Comprehensive Test Track

Verification of the development technology by the comprehensive test about railway vehicle



Plan for spreading after the project  
 nationwide after applying it to domestic business lines through a separate pilot project in the three-year gestation period.

After the project is over, we'd like to promote a plan to spread it to actual operating institutions

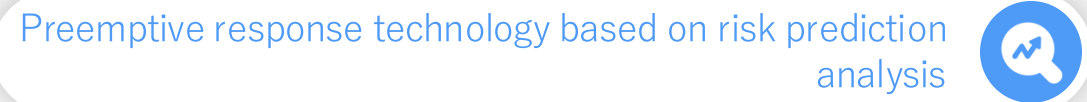
- The national integrated railway safety management system technology will be managed by the government in line with the institution

## Scientific I results



### IoT - based railway risk factor detection technology and big data construction technology

- Constant remote data collection by introducing IoT-based data acquisition technology
- Can collect and analyze big data based on safety data in all fields such as railway vehicles, facilities, and signals



### Preemptive response technology based on risk prediction analysis

- Paradigm shift from follow-up maintenance to preventive maintenance and timely/proper preventive measures technical stage
- Large contribution to developing the insufficient precise safety diagnosis technology, decision-making technology, and safety culture in Korea



### Development of railway risk factor analysis technology based on AI and digital twin

- Development to the risk factor analysis technology based on event precursor bow-tie using failure tree and event tree
- Promoting the development of AI and digital twin technologies in the domestic railway sector



### Development of performance prediction technology of railway system

- Can develop systematic and accurate performance prediction technology through continuous and long-term performance data collection of railway systems
- Can develop response technology based on accurate performance prediction





## Reduce the costs from railway accident / disorder damage

- Reducing social cost due to accident/disorder
- Building a low-cost safe society



## Reduce railway safety management costs

- Efficient distribution of national budgets for railway projects
- Reduced budget can be invested in fields such as the development of advanced railway technology

### Social/ Economical results



## Create high value-added jobs and train manpower

- Creation of new business by creating a new railroad smart safety industry ecosystem
- Promotion of development of high skilled manpower in response to the 4th industry in the railroad sector in Korea



## Reduce the damage of people by the integrated safety management

- Can reduce social costs such as human damage to the people
- Securing safety technology to respond to disasters such as earthquakes, and extreme weather such as heavy rain/snow



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# Thank You

