A high-speed train, likely a CRRC model, is shown in motion, blurred to indicate speed. The train is white with blue and black accents. The Chinese characters '和谐' (Harmony) are visible on the side of the train.

**Research on Prognostics and Health
Management of High Speed Trains
高速列车故障预测与健康管技术研究**

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CRRC QINGDAO SIFANG CO.,LTD.

October 2017

www.crrcgc.cc

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**HSR PHM System Framework
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**Initial Attempt for Axle Box
Bearing CM and Diagnosis**

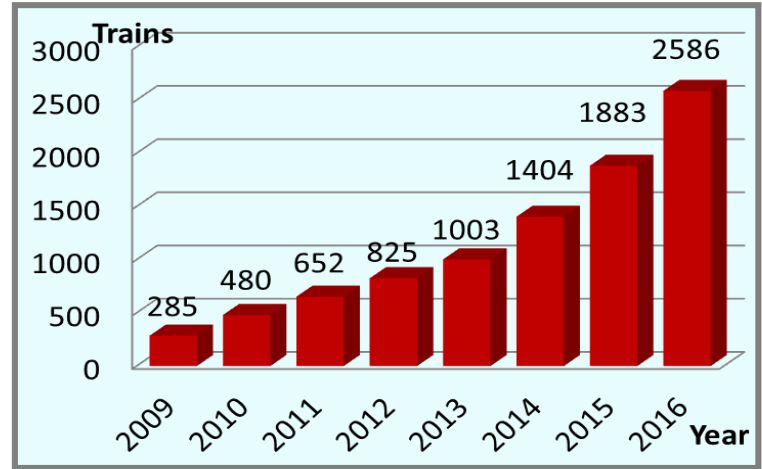
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Project Background



Medium-and long-term planning for China's high-speed rail network



Increase of EMUs between 2010 and 2016

How to ensure the **operation safety** and to improve the **operational efficiency** has received high level concern.

High Challenge for Operation and Maintenance

Introduction of CRRC PHM Projects

The PHM Project at CRRC QINGDAO SIFANG:

- Objective: Develop a PHM system for EMUs to transform the maintenance paradigm from preventive to predictive maintenance
- Year of start: 2016
- Project goals:
 - Strengthen operation safety
 - Improve operation efficiency and reduce maintenance costs
 - Provide after market service to enhance competitiveness
 - Enable worry-free experience for end users

Challenges for PHM in High-speed Rail

We have seen the following challenges in PHM development for EMUs:

Challenge

Impacts

Large number and distributed fleets



- Data communication challenges
- Peer variance

Multi-component and complicated systems



- Too costly to build expert system and physical model for each component

Multiple and continuously varying operation regimes



- Machine learning and data-driven PHM techniques have to deal with complicated patterns

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Prognostics and Health Management

Enhanced Diagnostics: the process of determining the state of a component to perform its function(s), high degree of fault detection and fault isolation capability with very low false alarm rate.

Prognostics: actual material condition assessment which includes predicting and determining the useful life and performance life remaining of components by modeling fault progression.

Health Management: is the capability to make intelligent, informed, appropriate decisions about maintenance and logistics actions based on diagnostics/prognostics information, available resources and operational demand.

Prognostics and Health Management

KEY TECHNOLOGY of PHM

- Sensing
- Data Analysis
- Health Assessment
- Performance Prediction
- Information Visualization
- Maintenance Strategy

According to the statistics of **IMS**

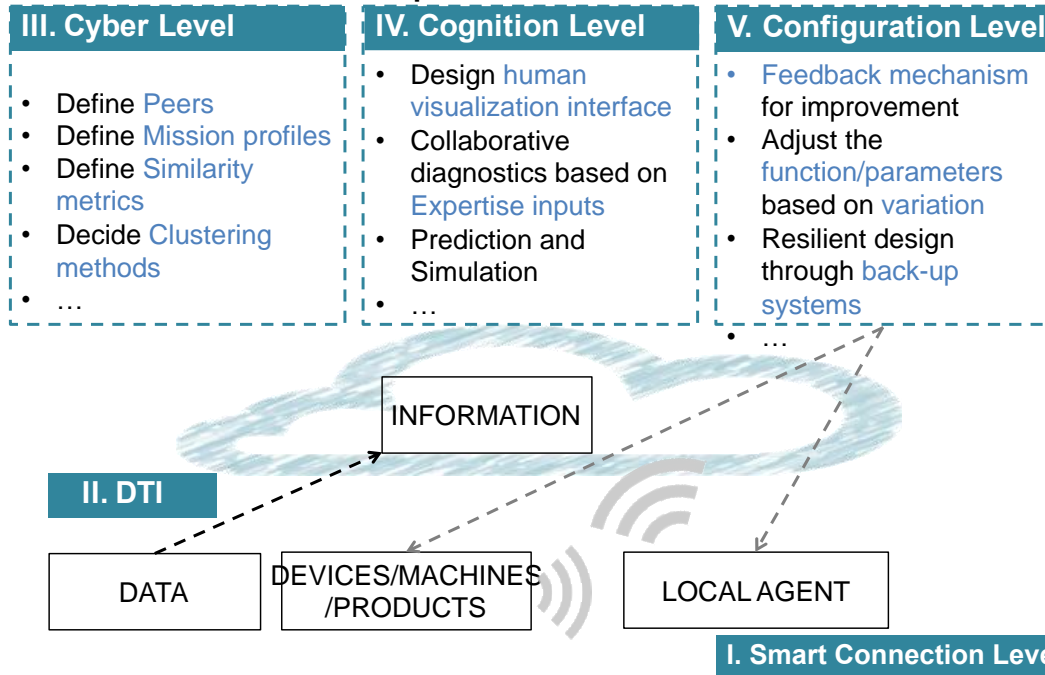
- Industrial operation ability **2.5%-5% ↑**
- Accident failure rate **75% ↓**
- Equipment maintenance cost **25%-50% ↓**

About Cyber-Physical System (CPS)

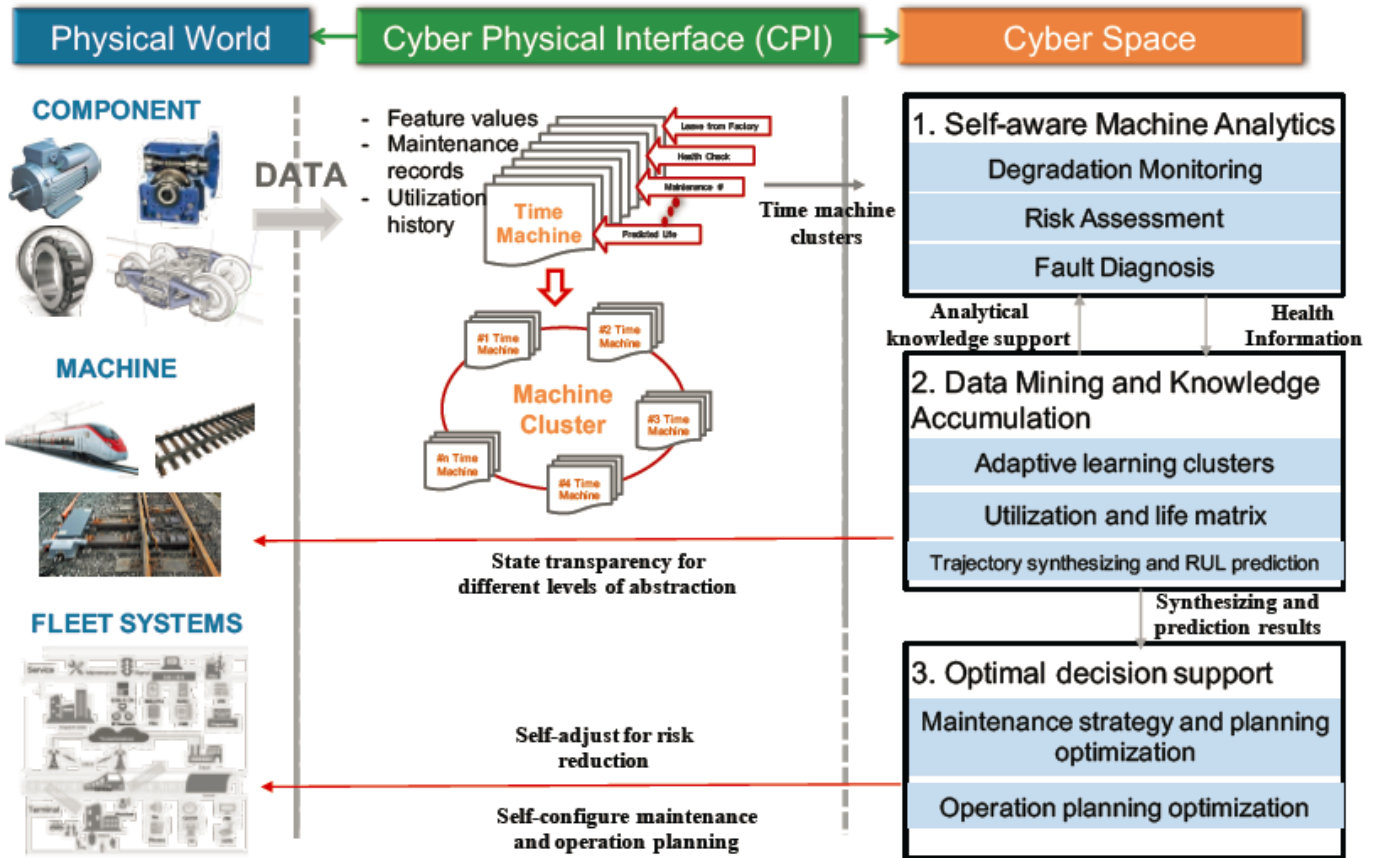
- Definition:

Cyber-physical systems (CPS) are engineered systems that are built from, and depend upon, the seamless integration of computational algorithms and physical components.

- 5C Architecture for CPS Implementation (*Lee et al., 2013*)



Predictive Analytics Interface based on CPS

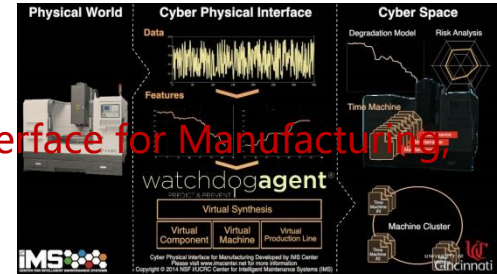


Source: IMS

Cases for application of CPS and PHM

- CPS/PHM ----**Manufacturing Industry** (IMS Center)

Digital Twin for Machine Monitoring - Cyber-Physical Interface for Manufacturing, IMS Center



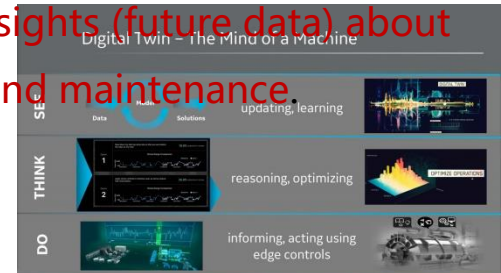
- CPS/PHM ----**Marine Industry** (Rolls-Royce)

With the aim of creating an open source digital platform for use in the development of new ships



- CPS/PHM ----**Wind Power Industry** (GE)

Digital twins offer (past data), KPIs (present data), and insights (future data) about an asset or system, from design and build to operation and maintenance.



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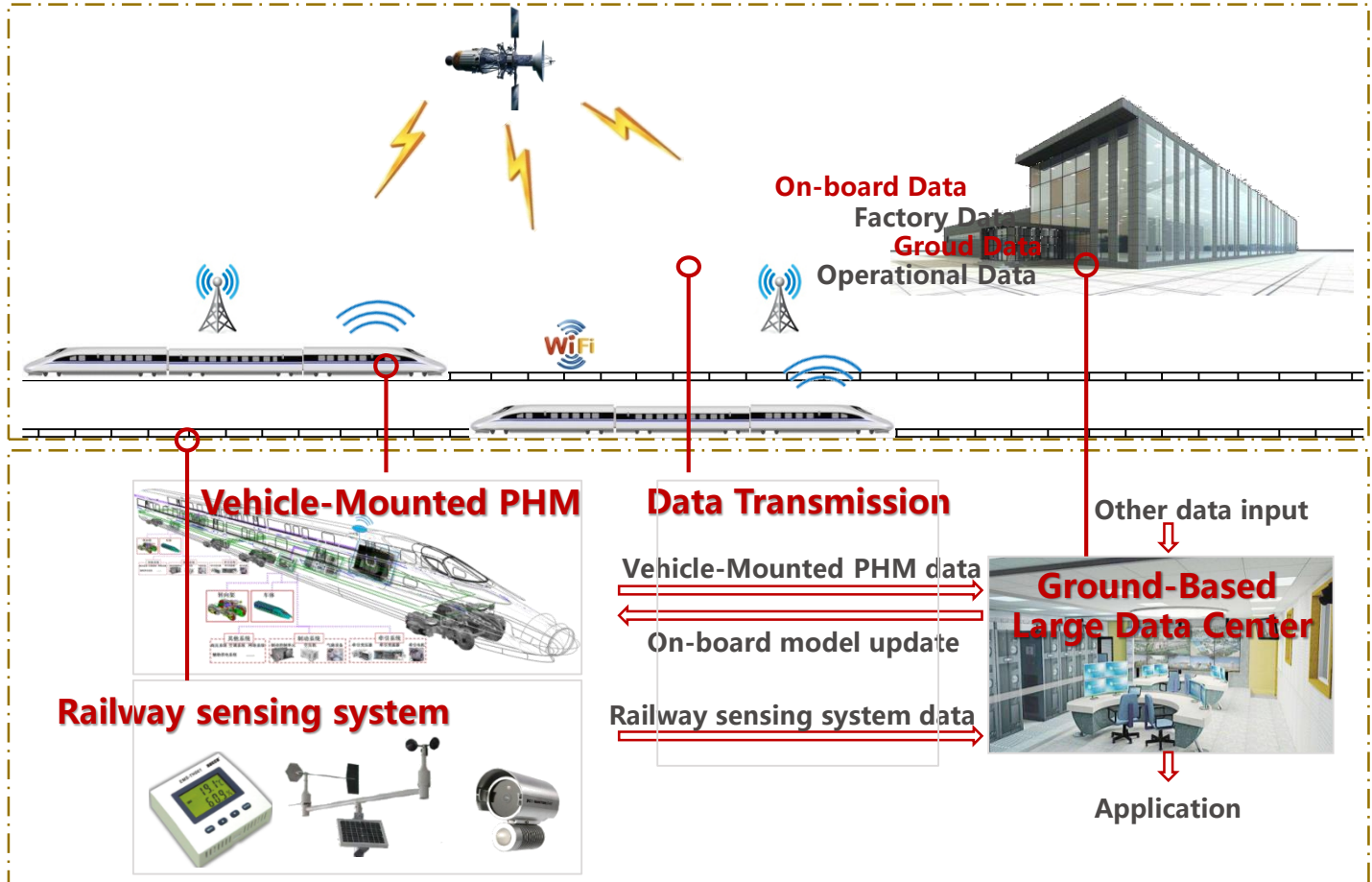
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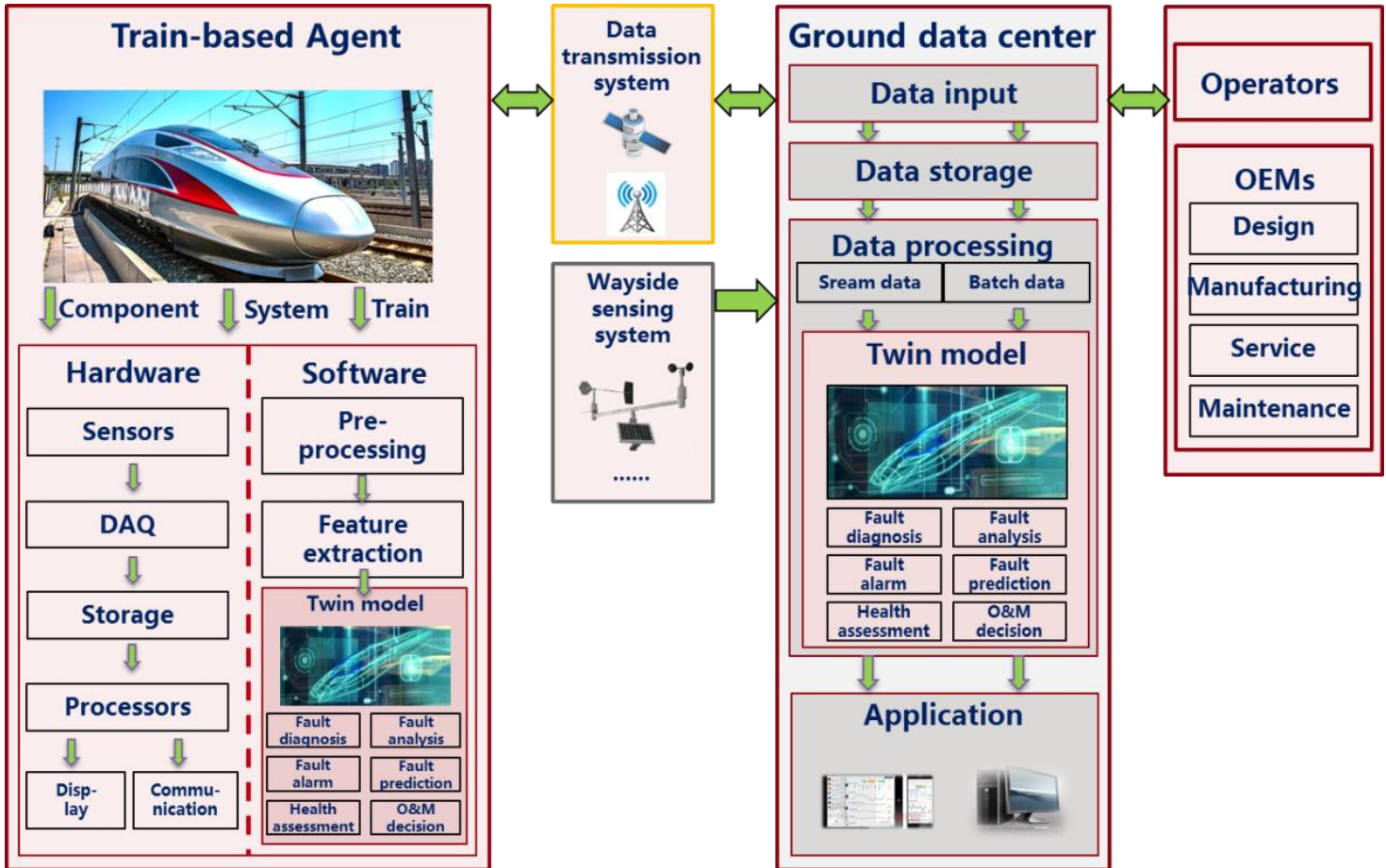
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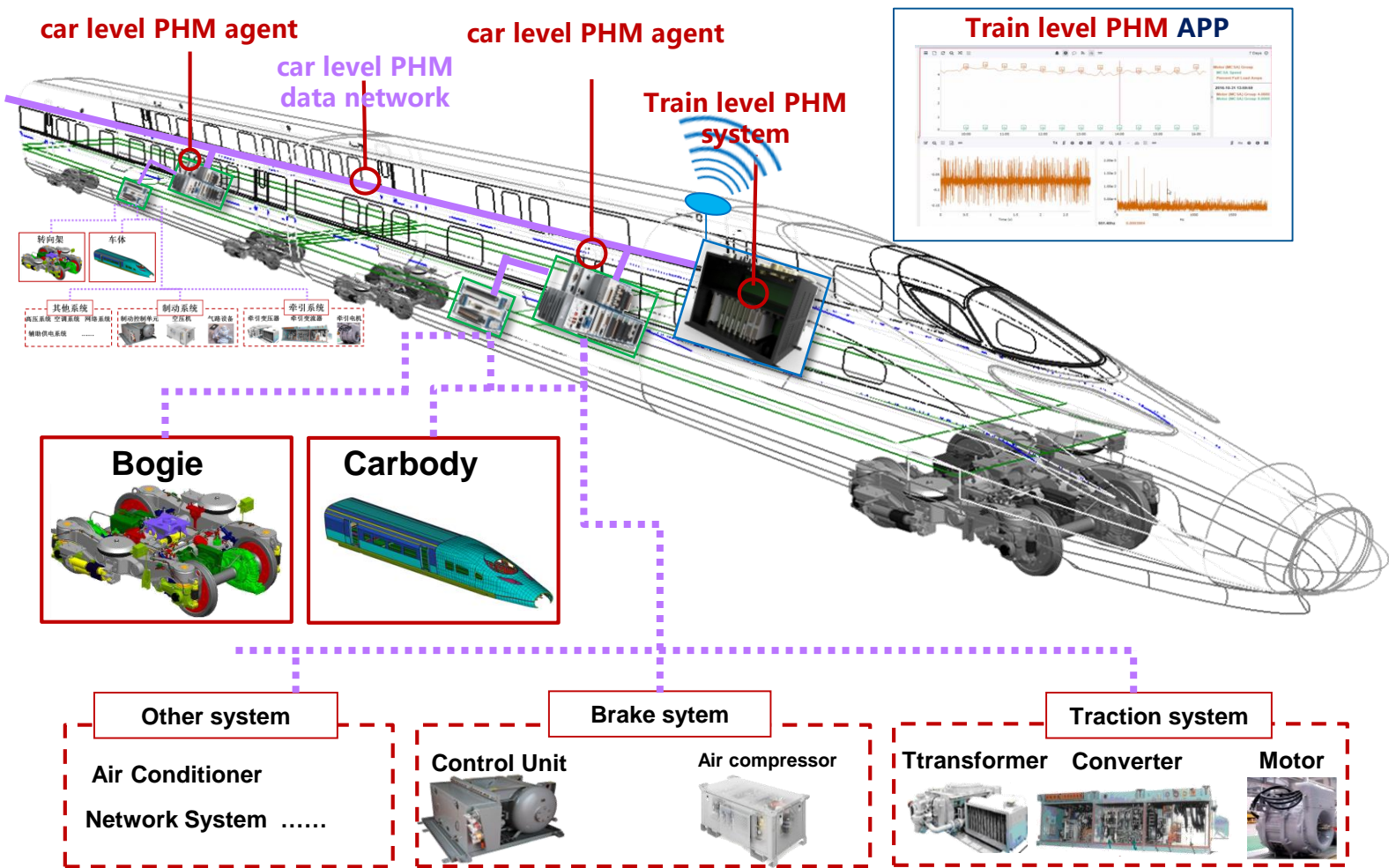
PHM Framework designed based on CPS



PHM Framework designed based on CPS

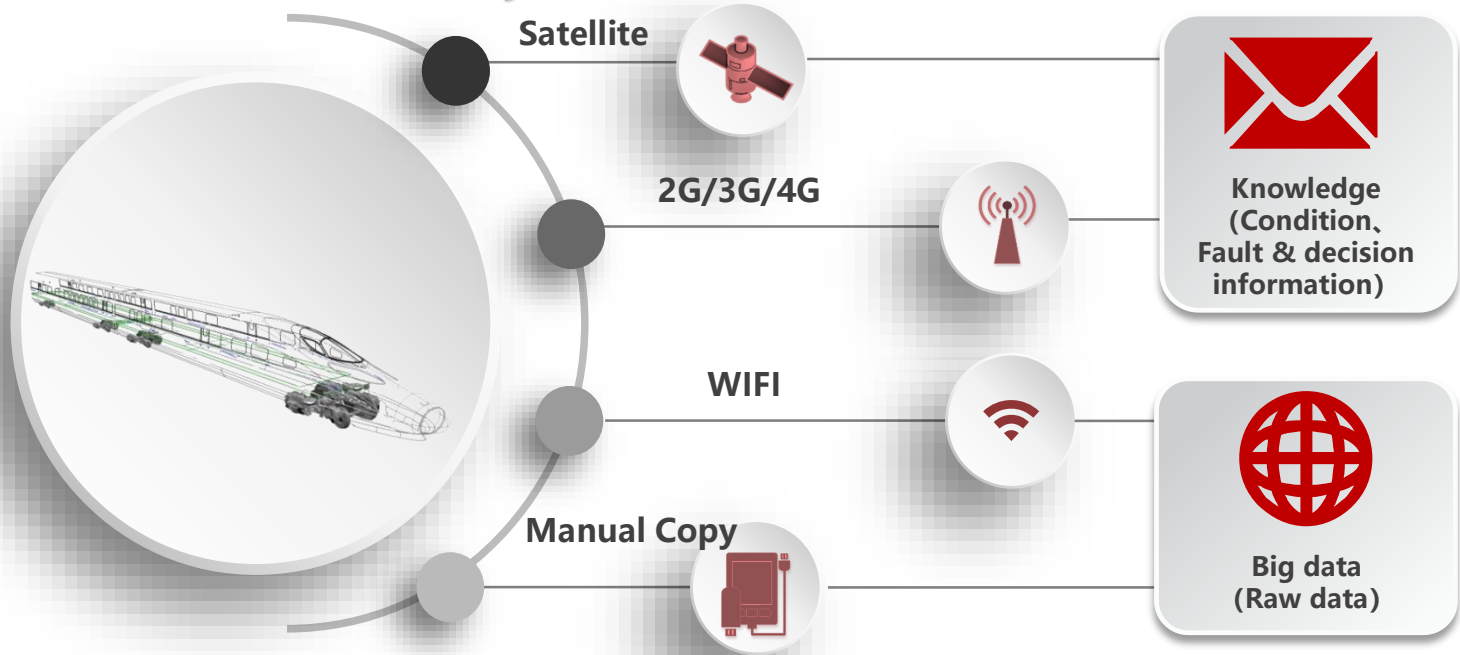


The On-board PHM System for High-speed Train



Design of Data Communication System

◆ Data Transmission System



■ Real-time data transmission

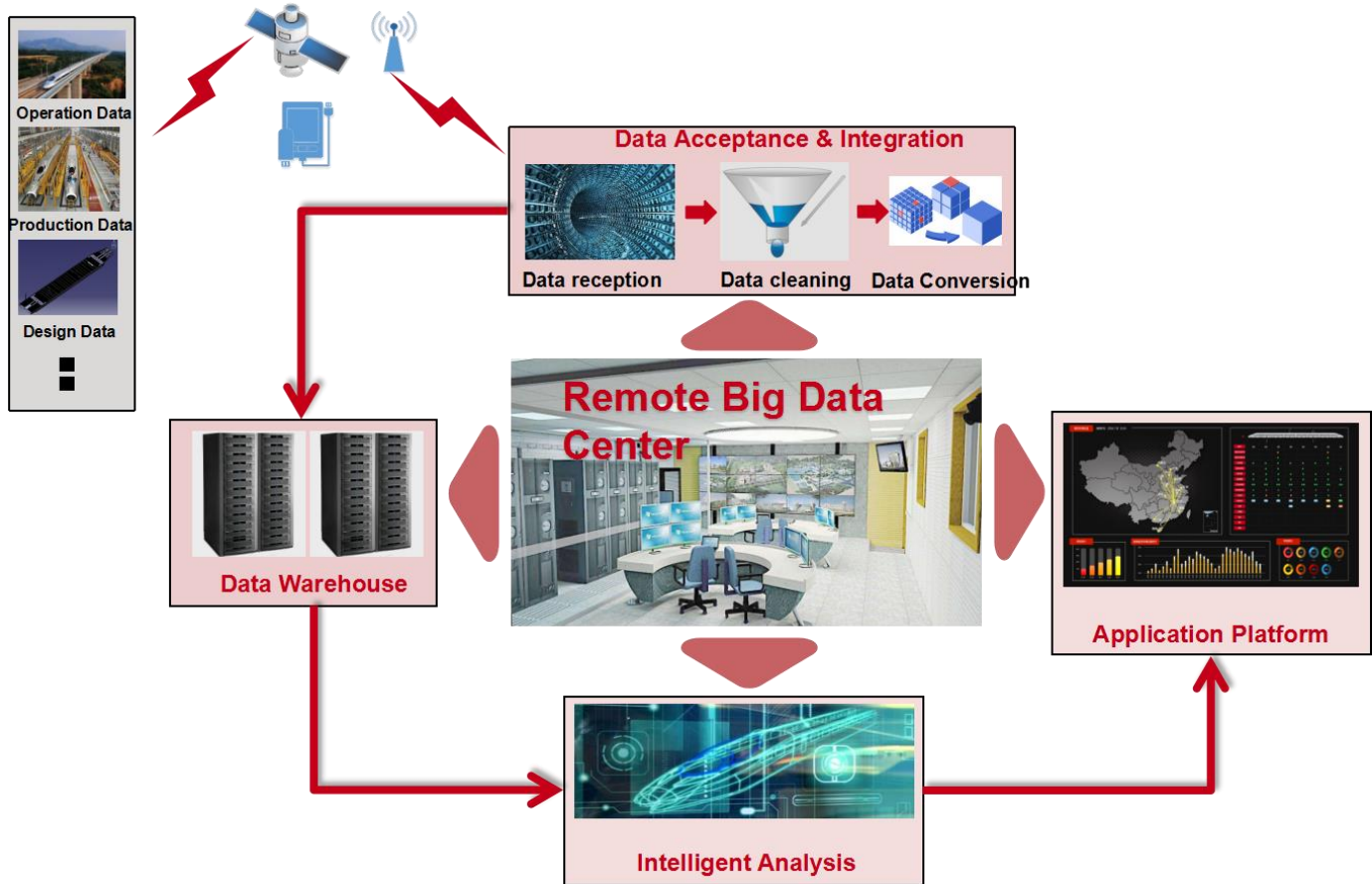
- 2G/3G/4G
- Dedicated industrial satellite (future)

■ Raw data transmission

- WIFI at station
- Manual copy during daily inspection

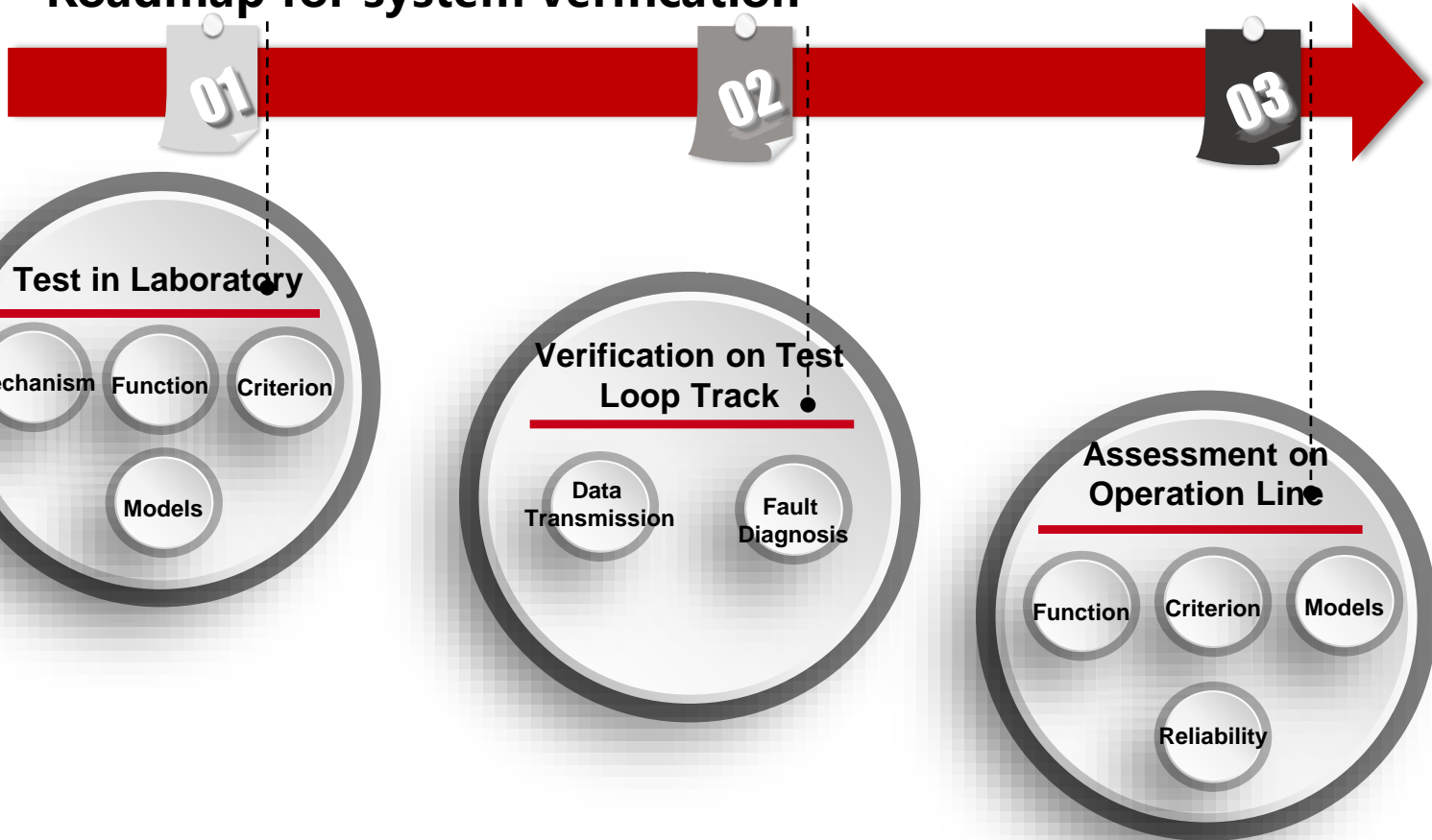
PHM Framework designed based on CPS

◆ Remote Big Data Center



PHM Framework designed based on CPS

Roadmap for system verification



PHM Framework designed based on CPS

Ground test platform

**Test Bench for Vehicle
Rolling Performance**



**Test Bench for train's
Vibration Simulation**



**Test Bench for Carbody
Strength**



**Test Bench for Structural
Fatigue**



PHM Framework designed based on CPS

Capability for Integrated Line Test

Dynamic Test Line



Line Test



Dynamic Test



In-car Vibration Test



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Bearing Test Plan in CRRC Qingdao Sifang

600 Km/h Roller test-rig
for bearing of various
failure modes



Bearing accelerated
damage test



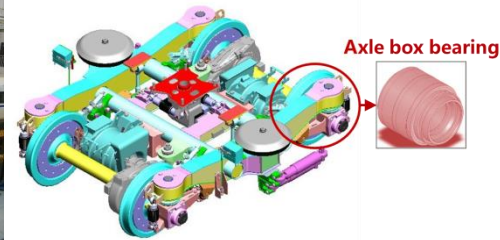
Testing track for
bearing of various
failure modes



Validation on
Operation line

Roller Test-rig for Various Failure Modes

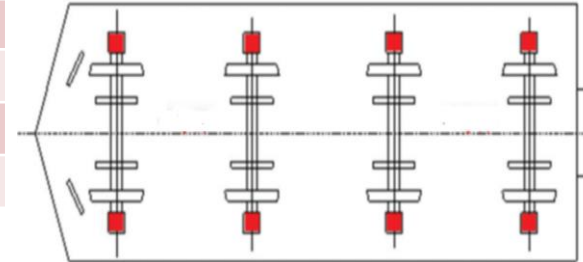
- Test-rig: The 600 Km/h Roller test-rig can simulate real track profile and test real scale train up to 600 Km/h



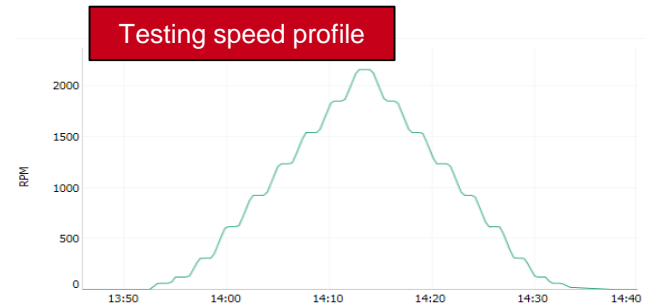
- Selected bearings

	Failure Mode	Failure Location		Failure Mode	Failure Location
1	normal		5	normal	
2	peel	Outer	6	dent	Roller
3	dent	Outer & roller	7	peel	Outer
4	corrosion	Outer & roller	8	corrosion	Outer & Cage

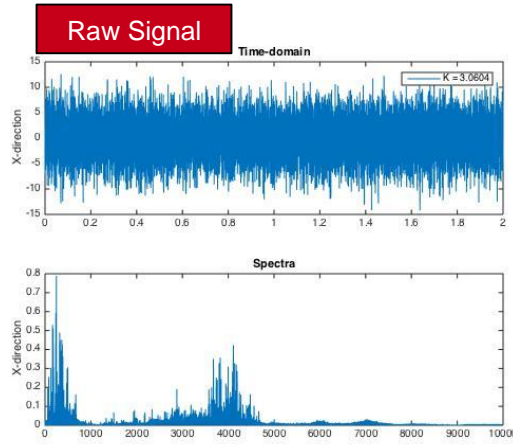
Bearing Locations



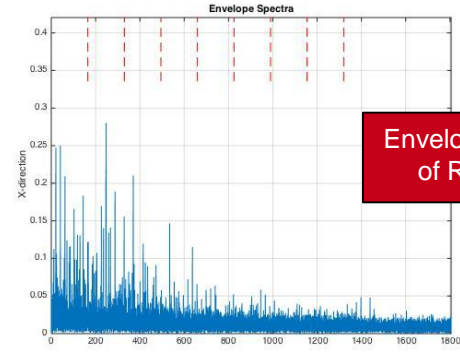
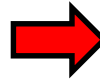
- Testing Profile was 0-350 Km/h with 20 regimes for:
 - Accelerating
 - Constant speed
 - Decelerating



Initial Analysis Results for Constant Speed

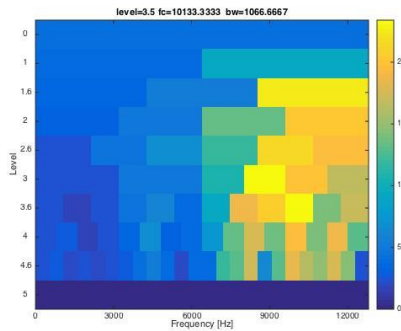


Hilbert Transform

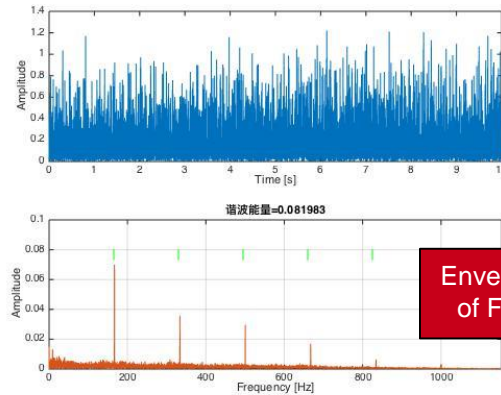
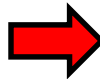


Envelope Spectrum of Raw Signal

Harmonic density centered filter band search



Hilbert Transform



Envelope Spectrum of Filtered Signal

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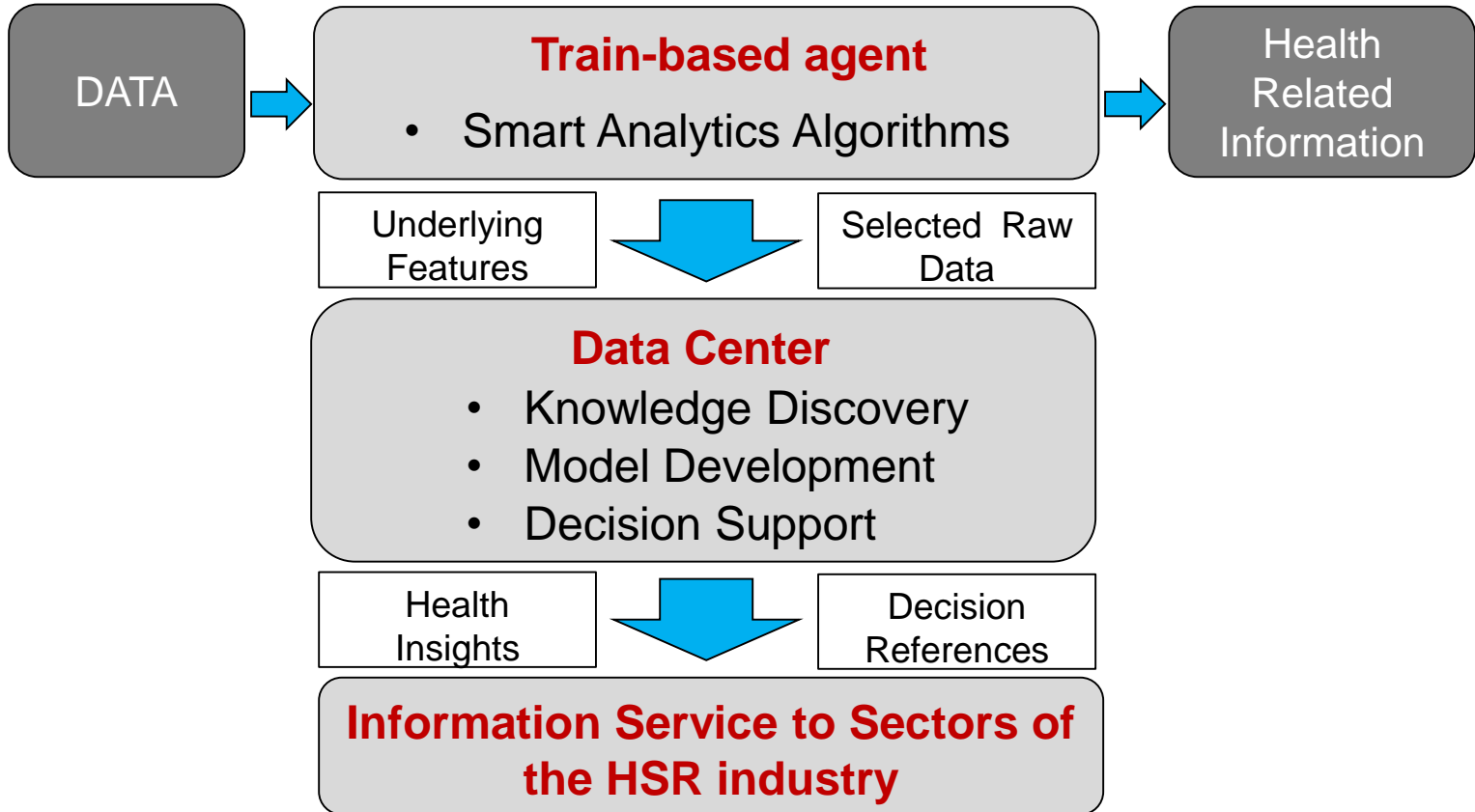
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Requirements & challenges in large fleets and big data environment



Conclusion

Significance for Vehicle Operation Department

- ◆ Improve O&M cost with predictive maintenance plans
- ◆ Improve inspection efficiency by providing diagnosis information and decision support for users

Significance for Passengers

- ◆ Improve security experience
- ◆ Improve comfort experience



Thank you for attention !