

QUANTITATIVE TECHNIQUES IN SAFETY MANAGEMENT

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RAILWAY SIGNALING CAN BE DEFINED AS A STATE MACHINE.



SIGNAL FAILURES CAN BE SAFE OR UNSAFE (DANGEROUS).

FAILURES CAN BE DETECTED OR UNDETECTED.

(UNDETECTED FAILURES ARE CONSIDERED AS DANGEROUS).

PROBABILITY OF FAILURE IS GIVEN BY

 $\lambda_{SYS} = \lambda_{SAFE} + \lambda_{DANGEROUS}$ = (λsD + λsu) + (λDD + λDU)



A PROGRAMMABLE EQUIPMENT CAN HAVE FAILURES DUE TO BOTH HARDWARE AND SOFTWARE.

IF HARDWARE FAILURE RATE = λ H AND SOFTWARE FAILURE RATE = λ S

OVERALL UNSAFE FAILURE RATE CAN BE EXPRESSED BY

 $\lambda unsafe = (\lambda Hpof + \lambda Hdf + \lambda Spof + \lambda Sdf) . (1 - Ppfd)$ $+ (\lambda Htof + \lambda Stof). Pmtf . (1 - Pmtfd)$

OR

 λ unsafe = (λ Hpof + λ Hdf).(1 – Ppfd) + λ Htof . Pmtf (1 – Pmtfd) + (λ Spof + λ Sdf).(1 – Ppfd) + λ Stof . Pmtf . (1 – Pmtfd)

FAILURE RATES FOR ELECTRONIC SIGNAL EQUIPMENT

ANALOG INPUT CIRCUIT FAILURE RATE	= λ _{ΑΙ}
NUMBER of ANALOG INPUT CIRCUITS	= N _{AI}
ANALOG OUTPUT CIRCUIT FAILURE RATE	= λ _{AO}
NUMBER of ANALOG OUTPUT CIRCUITS	= N _{AO}
COMMON CIRCUITRY ANALOG I/O MODULE FAILURE RATE	$=\lambda_A$
DIGITAL INPUT CIRCUIT FAILURE RATE	= λ _{DI}
NUMBER of DIGITAL INPUT CIRCUITS	$= N_{DI}$
DIGITAL OUTPUT CIRCUIT FAILURE RATE	= λ _{DO}
NUMBER of DIGITAL OUTPUT CIRCUITS	= N _{DO}
COMMON CIRCUITRY DIGITAL I/O MODULE FAILURE RATE	$= \lambda_D$
LOGIC SOLVER FAILURE RATE	= λ _{MP}
MODULE RACK FAILURE RATE	= λ _R
POWER SUPPLY FAILURE RATE	= λ _{PS}

SAFE AND UNSAFE FAILURE RATES

$$\lambda^{SD} = n_{DI}\lambda^{SD}_{DI} + n_{DO}\lambda^{SD}_{DO} + \lambda^{SD}_{D} + n_{AI}\lambda^{SD}_{AI} + n_{AO}\lambda^{SD}_{AO} + \lambda^{SD}_{A} + \lambda^{SD}_{MP} + \lambda^{SD}_{R} + \lambda^{SD}_{PS}$$

$$\lambda^{SU} = n_{DI}\lambda^{SU}_{DI} + n_{DO}\lambda^{SU}_{DO} + \lambda^{SU}_{D} + n_{AI}\lambda^{SU}_{AI} + n_{AO}\lambda^{SU}_{AO} + \lambda^{SU}_{A} + \lambda^{SU}_{MP} + \lambda^{SU}_{R} + \lambda^{SU}_{PS}$$

.

$$\lambda^{DD} = n_{DI}\lambda^{DD}_{DI} + n_{DO}\lambda^{DD}_{DO} + \lambda^{DD}_{D} + n_{AI}\lambda^{DD}_{AI} + n_{AO}\lambda^{DD}_{AO} + \lambda^{DD}_{A} + \lambda^{DD}_{MP} + \lambda^{DD}_{R} + \lambda^{DD}_{PS}$$

$$\lambda^{DU} = n_{DI}\lambda^{DU}_{DI} + n_{DO}\lambda^{DU}_{DO} + \lambda^{DU}_{D} + n_{AI}\lambda^{DU}_{AI} + n_{AO}\lambda^{DU}_{AO} + \lambda^{DU}_{A} + \lambda^{DU}_{MP} + \lambda^{DU}_{R} + \lambda^{DU}_{PS}$$

SIGNAL BUTTON CIRCUIT IN RELAY INTERLOCKING





FAULT TREE FOR SAFE FAILURE OF SIGNAL BUTTON RELAY (GNR) OF BRITISH ROUTE RELAY INTERLOCKING



 λ safe = $\lambda_{GNR} + \lambda_{FUSE} + \lambda_{POWER} + \lambda_{WIRING} + \lambda_{CONTACT. FLT (Button)} + \lambda_{Other GNRs (13)}$

AS PER RAILTRACK IRM CCA MODEL,

λ _{RELAY (open)}	= 0.7495 X 10 ⁻⁶ / Hr.,
λ_{RELAY} (short)	= 0.4307 X 10 ⁻⁶ / Hr
λ _{WIRING (Open)}	= 6.554 X 10 ⁻⁸ / Hr.,
λ_{FUSE}	= 0.04 X 10 ⁻⁶ / Hr.,
λ _{POWER}	= 0.04 X 10 ⁻⁶ / Hr.

AND AS PER MIL STD. 217F (CONSIDERING 5 OPERATIONS / HR.), $\lambda_{\text{CONTACT FLT}} = 0.3468 \times 10^{-6}$ / Hr. (for GN Button)

REPLACING THESE VALUES IN THE EQUATION, λ safe = (0.7495 X 10⁻⁶ + 0.4307 X10⁻⁶ + 6.554 X10⁻⁸ + 2 X 0.04 X10⁻⁶ + 0.3468 X10⁻⁶ + 13 X 0.7495 X 10⁻⁶) / Hr = 1<u>1.416 X 10⁻⁶ / Hr</u>.

FAILURE RATE FOR RESISTORS USED IN AXLE COUNTER AS PER MIL 217F ITEM 9.1)

 $\lambda_{B=4.5 X} \mathbf{10}^{-9} \exp \left(\frac{12 (T + 273)}{343} \right) \exp \left(\frac{S}{0.6} (T + 273)}{273} \right)$

LET US TAKE AN EXAMPLE – A RESISTOR OF VALUE 2.2 K Ω OF LOW QUALITY WORKING AT 45 °C WILL HAVE

 $\lambda_{\rm B} = 4.5 \times 10^{-9} \exp \left(\frac{12}{45} + \frac{273}{343} \right) \exp \left(\frac{0.1}{0.6} \times \frac{45}{45} + \frac{273}{273} \right)$

 $= 4.5 \times 10^{-9} \exp \left(\frac{12 \times (318)}{343} \exp \left(\frac{0.1666 \times (318)}{273} \right) \right)$

 $= 4.5 \times 10^{-9} \exp^{(12 \times 0.92711)} \exp^{(0.1666 \times 1.16483)}$

= $4.5 \times 10^{-9} \exp^{11.12536} \exp^{0.19406}$

= 4.5 X 10⁻⁹ X 67870.72 X 1.21417

 $= 370829.399 \times 10^{-9} = 0.00037 / 10^{6}$ Hrs.

THE MODIFIED FAILURE RATE (UNDER STRESS) OF THE RESISTOR $\lambda_{P} = \lambda_{B} X \Pi_{Q} X \Pi_{E} X \Pi_{R} = 0.00037 X 15 X 3 X 1 = 0.016687/10^{6}$ Hrs.

EFFECT OF AMBIENT TEMPERATURE AND COMPONENT QUALITY (AMPL.- RECT.CARD OF CEL AXLE COUNTER)

Part Description	λ _P at 45°C	λ _P at 30°C	λ _P at 30°C and better Quality Parts	Contribution percentage
Capacitors	3.120181	2.0211954	0.4937654	38.33 %
Resistors	3.5059	2.38384	1.01048	43.07 %
Semiconductors	0.25688	0.25688	0.112088	3.15 %
Transformers & Coil	1.023	0.8884	0.34558	12.5 %
Connectors	0.16747	0.11847	0.0389	2.05 %
Reflow Connections	0.06541			0.8 %
TOTAL	8.13884	5.73419	1.62183	

CHANGE IN AMBIENT TEMPERATURE IMPROVES FAILURE RATE BY 29.5% AND CHANGE IN COMPONENT QUALITY, ALONG WITH TEMPERATURE, BY 80%

FMECA OF AN INPUT INTERFACE CIRCUIT



Failure I	Modes, Eff	ects and Dia	agnostic A	nalysis								~ ~~~			
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Name	Code	Function	Mode	Cause	Effect	Criticality λ		Remarks	Det.	Diagnostics	Mode	SD	SU	DD	DU
R1-10K	4555-10	Input	short		Threshold shift	Safe	0.125		()	1	0	0.13	0	0
		threshold	open	solder	open circuit	Safe	0.5			l lose input pulse	1	0.5	0	Ő	Ő
}				open									-	_	-
			drift low			Safe	0.01	none until	()	1	0	0.01	0	0
ļ								too low							
			drift high			Safe	0.01	none until	-	l lose input pulse	1	0.01	0	0	0
Deter	1555 100							too high							ľ
R2100K	4555-100	current limit	short		short input	Safe	0.125		1		1	0.13	0	0	0
			open	solder		Safe	0.5		-	l lose input pulse		0	0	0.5	0
				open						F F		•	•	0.0	Ŭ
			drift low			Safe	0.01	none until	()	1	0	0.01	0	o
								too low							
			drift high			Safe	0.01	none until	1	l lose input pulse	1	0.01	0	0	0
			_					too high							
D1	4200-7	voltage	short	surge	overvoltage	Safe	2		1	lose input pulse	1	2	0	0	0
		drop				~ ′	_								
			open		open circuit	Safe	5		1	lose input pulse	1	5	0	0	0
20	4200-7	voltage	chort	curao	ovonioltogo	Safa	^					~	~		
	42.00-7	dron	SHOR	surge	overvollage	Sale	2			iose input puise	1	2	0	0	9
		diop	onen		open circuit	Safe	5		4		4	5	0	0	
			opon		opon unoun	Quie	v		I.	iose input puise	1	5	U	U	4
OC1	4805-25	isolate	led dim	wear	no liaht	Safe	28		1	Comp.	1	28	0	٥	0
										mismatch	•	20	Ŭ		Ň
1			tran. short	internal	read logic 1	Dang.	10		1	Comp.	0	0	0	10	o
				short	•	Ū				mismatch	•	•	•	Ű	/ 1
			tran. open		read logic 0	Safe	6		1	Comp.	1	6	0	0	ol
										mismatch					[
OC2	4805-25	isolate	led dim	wear	no light	Safe	28		1	Comp.	1	28	0	0	0
										mismatch				\frown	
			tran. short	internal	read logic 1	Dang.	10		1	Comp.	0	0	0	10	/ 0
				short		_ .				mismatch					
			tran. open		read logic 0	Safe	6		1	Comp.	1	6	0	0	0
001/00	~					-			-	mismatch				- 1	
001/002	2		cross chan	nei short	same signal	Dang.	0.01		0		0	0	0	0	0.01

R3-100K	4555-100	filter	short	lose filter	Safe	0.125	0	1	Ō	0.13	Ō	o
			open	input float high	Dang.	0.5	1 Comp. mismatch	0	0	0	0.5	0
R4-10K	4555-10	voltage divider	short	read logic 0	Safe	0.125	1 Comp. mismatch	1	0.13	0	0	0
			open	read logic 1	Dang.	0.5	1 Comp. mismatch	0	0	0	0.5	0
R5-100K	4555-100	filter	short	lose filter	Safe	0.125	0	1	0	0.13	0	0
			open	input float high	Dang.	0.5	1 Comp. mismatch	0	0	0	0.5	0
R6-10K	4555-10	voltage divider	short	read logic 0	Safe	0.125	1 Comp. ' mismatch	1	0.13	0	0	0
			open	read logic 1	Dang.	0.5	1 Comp. mismatch	0	0	0	0.5	0
C1	4350-32	filter	short	read logic 0	Safe	2	1 Comp. mismatch	1	2	0	0	0
			open	lose filter	Safe	0.5	0	1	0	0.5	0	0
C2	4350-32	filter	short	read logic 0	Safe	2	1 Comp. mismatch	1	2	0	0	0
			open	lose filter	Safe	0.5	0	1	0	0.5	0	0
			<u> </u>			110.8			86.9	1.4	22.5	0.01
			Total Failure Rate			110.8	Safe Coverage	0.9839				
			Total Safe Failure R	ate		88.29	Dang. Coverage	0.9996				
			Total Dangerous Fai	lure Rate		22.51	u u u u u u					
			Safe Detected Failur	e Rate		86.895						
			Safe Undetected Fai	lure Rate		1.395						
			Dangerous Detected	Failure Rate		22.5						
			Dangerous Undetect	ed Failure Rate		0.01						
					Failures	per Billion Hou	rs					

EVENT TREE ANALYSIS





RELIABILITY BLOCK DIAGRAM OF UNIVERSAL AXLE COUNTER.



CALCULATING INDIVIDUAL RELIABILITY VALUES, WE FIND

R1 = 0.99995963, R2 = 0.9999617, R3 = 0.9999894, R4 = 0.9999978 AND R5 = 0.9999942

 $R_{SYS} = R1 X R2 X R3 X R4 X R5$

= (0.99995963 X 0.9999617 X 0.9999894 X 0.9999978 X 0.9999942) = 0.999902865

SEPARATELY CALCULATING R_{SYS} FROM λ_{SYS} THE VALUE IS 0.999902897

FAILURE RATE OF A TYPICAL ELECTRONIC INTERLOCKING EQPT.

SUB-SYSTEM NAME	<u>QTY</u>	FAULTS/10 ⁶ Hr	<u>TOTAL</u> FAULTS/10 ⁶ Hr
PROCESSOR BOARD	1	2.14470	2.1447
I/O BUS INTERFACE BOARD	1	2.8679	2.8679
CODE SYSTEM INTERFACE BOARD	1	2.9182	2.9182
PERIPHERAL BOARD	1	2.1412	2.1412
CPU POWER SUPPLY	1	1.5545	1.5545
12V INPUT BOARD	7	1.2741	8.9187
RELAY DRIVER BOARD	7	0.7102	4.9714
I/O POWER SUPPLY	1	0.8234	0.8234
TOTAL			26.34

BUT RELIABILITY IS REDUCED WITH TIME !

Const. Failure Rate / Hr	After 1 Year	After 2 Years	After 3 Years	After 4 Years	After 5Years
3 /10 ⁷ Hrs	99.7375%	99.4758%	99.2147%	98.9543%	98.6946%
3 /10 ⁸ Hrs	99.9723%	99.9474%	99.9212%	99.8949%	99.8687%

RELIABILITY AT THE END OF LIFE MUST BE USED TO DETERMINE THE INITIAL RELIABILITY.

2003 ARCHITECTURE



2003 ARCHITECTURE MARKOV DIAGRAM



PFD FAULT TREE FOR 2003 SYSTEM



 $+(\lambda^{DUN}*TI)^{2}/3]$

COMPARISON BETWEEN ANALYSIS TECHNIQUES

ANALYSIS TECHNIQUES	FMECA	RBD	FTA	HYBRID TECHNIQUE	MARKOV MODEL
ASPECTS COVERED					
EFFECTS OF REDUNDANCY		\checkmark	\checkmark	\checkmark	\checkmark
COMMON CAUSE FAILURES		\checkmark	\checkmark	\checkmark	\checkmark
SYSTEMATIC FAILURES	\checkmark	\checkmark	\checkmark		\checkmark
EFFECTS OF DIAGNOSTICS	\checkmark		\checkmark	\checkmark	\checkmark
EFFECTS OF TEST & REPAIR			\checkmark	\checkmark	\checkmark
TIME / SEQUENCE DEPENDENT ASPECTS					\checkmark

BATH TUB CURVE (HAZARD RATE vs TIME)



 T_B = possible burn-in time T_W = wear begins

IMPROVEMENT IN LIFE-TIME RESULTING FROM AN INITIAL BURN-IN PERIOD

LET A COMPONENT FOR AXLE COUNTER CARD HAVE A DECREASING FAILURE RATE OF λ T = 0.0005 (T /1000) ^{-0.5}/ YEAR. FIND THE INFLUENCE OF A BURN-IN PERIOD OF 6 MONTHS ON THE LIFE-TIME OF THE COMPONENT, CONSIDERING RELIABILITY OF 0.9.

<u>Answer:</u> $R_{(t)} = 0.9$, i.e. exp [- (t /1000)^{- 0.5} = 0.9 FROM THIS,

 $t = 1000 \{-\ln (0.9)\}^2 = 1000 X (0.10536)^2 = 1000 x 0.0111 = 11.1 Yrs$

WHEN A BURN-IN PERIOD OF 6 MONTHS (0.5 YR) IS INTRODUCED, $R_{(t | T)} = 0.9$, i.e.

 $\exp \left[-(t + 0.5 / 1000)^{-0.5}\right] / \exp \left[-(0.5 / 1000)^{-0.5}\right] = 0.9$

t = 1000 {- ln 0.9 + (0.5 /1000)^{- 0.5}}² - 0.5 = 1000 {0.10536 + 0.02236}² - 0.5 = 1000 {0.12772}² - 0.5 = (1000 X 0.1631) - 0.5 = 16.31 - 0.5 = 15.81 Yrs

AN INCREASE OF 4.71 YRS IN THE DESIGNED LIFE OF THE COMPONENT.

SPARE PARTS CALCULATION

LET $\lambda = 1 \times 10^{-5}$ / hr. BE THE CONSTANT FAILURE RATE OF A VITAL SPARE PART IN A SYSTEM. THERE ARE 6 SYSTEMS INSTALLED AND A CUMULATIVE OPERATING TIME OF 50,000 HRS FOR EACH SYSTEM IS NEEDED. DESIRED SYSTEM RELIABILITY IS \geq 0.99. HOW MANY SPARE PARTS ARE NEEDED?

ANSWER: FOR CENTRALIZED STORE

NO. OF FAILURES = $50000 / 100000 = 0.5 \approx 1$ AND RELIABILITY = 0.99

FOR THIS VALUE, d = 2.33 (FROM STANDARD NORMAL DISTRIBUTION TABLE) AND kd/2 = 1.165, as k (COEFFICIENT of DISTRIBUTION) = 1

Now $KT\lambda = 6x50000x0.00001 = 3$, where K = No. of SYSTEMS So, n = $[kd/2 + {(kd/2)^2 + KT\lambda}^{1/2}]^2$ = $[1.165 + {(1.165)^2 + 3}^{1/2}]^2$ = $[1.165 + 2.0874]^2 = (3.2524)^2 = 10.57 \approx 11$ FOR DECENTRALIZED STORE

NO. OF FAILURES = $50000 / 100000 = 0.5 \approx 1$ INDIVIDUAL RELIABILITY AT EACH SYSTEM IS $(0.99)^{1/6} = 0.99888$

FOR THIS VALUE, **d = 2.99** (FROM **STANDARD NORMAL DISTRIBUTION Table**) AND **kd/2 = 1.495**

NOW KT λ = 50000x0.00001 = 0.5

So,
$$n = [kd/2 + {(kd/2)^2 + KT\lambda}^{1/2}]^2$$

= $[1.495 + {(1.495)^2 + 0.5}^{1/2}]^2$
= $[1.495 + 1.6538]^2$
= $(3.783)^2 = 9.915 \approx 10$

FOR THE SYSTEM HAVING **SIX EQUIPMENT**, **TOTAL SPARES NEEDED** WILL BE **60**.

SO, DECENTRALIZED STORES NEED MUCH MORE SPARES.

ADEQUACY OF SPARE PARTS

SUPPOSE A COMPONENT IN A SIGNALLING EQUIPMENT HAS A FATIGUE RATE OF 0.000003/ Hr. SIGNAL REPAIR SHOP HAS PROCURED TWO SPARE COMPONENTS. IF THE DESIGN LIFE OF THE EQUIPMENT IS 20 Yrs, WHAT IS THE PROBABILITY THAT SPARES WILL BE ADEQUATE FOR 10 SUCH EQUIPMENT?

ANSWER

EXPECTED FAILURES DURING EQUIPMENT LIFE IS

 $= 10X3X10^{-6}X20X8760 = 5.256.$

PROBABILITY OF ≤ 2 FAILURES IN 20 Yrs,

$$R_{(20)} = \sum \{e^{-5.256} (5.256)^{n} / N! \}$$

$$n = 0$$

$$= e^{-5.256} \{(5.256)^{0} / 0! + (5.256)^{1} / 1! + (5.256)^{2} / 2!$$

$$= 0.005216 \{1 + 5.256 + 13.812768\}$$

$$= 0.005216 X 20.068768$$

$$= 0.1046787$$

INFLUENCE OF PERIODICAL INSPECTION ON AVAILABILITY

LET US CONSIDER A UNIVERSAL AXLE COUNTER EQUIPMENT HAVING A CONSTANT FAILURE RATE OF 0.0000971 FAILURE/ 10⁶ HRS.

ANY DEFECTIVE COMPONENT WOULD BE REPLACED / REPAIRED, IF FOUND DEFECTIVE DURING THE PERIODIC INSPECTION.

THE INSPECTION TIME IS 1 HR AND REPAIR / REPLACEMENT TAKES 8 HRS (WORST CASE).

WHAT IS THE OPTIMUM TIME BETWEEN INSPECTIONS?

ANSWER:

WE USE THE FORMULA $A_{(T)} = (1 - e^{-\lambda T}) / \lambda [T + t1 + t2 (1 - e^{-\lambda T})]$

WHERE, $\lambda = 0.0000971$, t1 = 1 hr, t2 = 8 hr and T = INSPECTION PERIODICITY.

LET US CONSIDER 168 HRS, 336 HRS, 504 HRS AND 672 HRS AS THE INSPECTION INTERVALS AND FIND AVAILABILITY AT THESE PERIODS.

T (Hr)	96	168	240	336	504	672
A _(T)	0.98434	0.9852598	.983582	.9801959	0.9732559	0.9662714

$$A_{(96)} = 0.98434 \text{ AND } A_{(240)} = 0.983582$$

WE NOW CONSIDER INSPECTION PERIODICITY OF 96 HRS AND 240 HRS.

MAXIMUM AVAILABILITY IS FOR AN INSPECTION INTERVAL OF 168 HRS.

 $A_{(336)} = 0.9801959$, $A_{(504)} = 0.9732559$, $A_{(672)} = 0.9662714$

BY SIMILAR CALCULATIONS, WE FIND THE VALUES:

= 0.9852598

- = 0.0161804 / [0.0000971 X 169.1294432] = 0.0161804 / 0.01642247
- = 0.0161804 / [0.0000971 {169 + 8 X(0.0161804)}]
- = (1-0.98388195) / [0.0000971 {169 + 8 (1-0.98388195)}]
- $= (1 e^{-0.0163128}) / [0.0000971 \{ 169 + 8(1 e^{-0.0163128})] \}$
- $A_{(168)} = (1 e^{-0.0000971 \times 168}) / [0.0000971 \{ 168 + 1 + 8(1 e^{-0.0000971 \times 168}) \}]$

EXAMPLE OF QUANTIFICATION OF SOFTWARE TESTING

TOTAL STATEMENTS	= 10
NESTED LEVEL	= 4
TOTAL LINES	= 79
SOURCE ONLY LINES	= 21
SOURCE & COMMENTS LINES	= 0
COMMENTS ONLY LINES	= 55
EMPTY LINES	= 3
COMMENTS LINES RATE	= 69.62%

SOME SAFETY QUANTIFICATION PARAMETERS

FAILURE RATE	= 10 ⁻⁶ /Hr
SAFE FAILURE RATIO	> 0.99
DIAGNOSTIC COVERAGE FACTOR	= 0.99
COMMON CAUSE (β) FACTOR	= 0.05
REPAIR TIME	= 4 Hrs. TO 1 DAY
PROOF TEST TIME	= 0.25 TO 1 YEAR
PROOF TEST COVERAGE FACTOR	= 0.8
TIME TO COMPLETE OVERHAUL	= 4 TO 6 YEARS

FAULT TREE ANALYSIS.

FMECA AND FAULT INJECTION TECHNIQUES.

MARKOV DIAGRAM AND ANALYSIS.

RELIABILITY BLOCK DIAGRAMS.

HAZARD IDENTIFICATION AND RANKING.

SAFETY INTEGRITY LEVEL CALCULATION.

CAUSAL & CONSEQUENCE ANALYSIS.

LOSS, OPTIONS & IMPACT ANALYSIS.

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σας ευχαριστώ

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谢谢





MERCI!

THANK YOU!

